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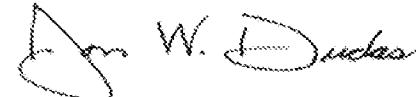
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**INVENTOR(S)**

Given Name (first and middle [if any])	Family Name or Surname	Residence (City and either State or Foreign Country)
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 Additional inventors are being named on the separately numbered sheets attached hereto**TITLE OF THE INVENTION (280 characters max)****METHODS AND COMPOSITIONS FOR USE IN EVALUATING AND TREATING NEOPLASTIC DISEASE CONDITIONS**Direct all correspondence to: **CORRESPONDENCE ADDRESS** Customer Number **24353** →Place Customer Number  
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Respectfully submitted,

Date 04/02/2004

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(if appropriate)

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## METHODS AND COMPOSITIONS FOR USE IN EVALUATING AND TREATING NEOPLASTIC DISEASE CONDITIONS

### INTRODUCTION

#### 5 Background of the Invention

Cancer is the second leading cause of death in the United States. In 1999 there were an estimated 563,100 cancer deaths and each year about 1,222,000 new cancer cases are diagnosed. Among these, solid tumor cancers such as lung, breast, prostate and colorectal cancers are the most common.

10 Lung cancer is the leading cause of cancer death in both men and women in Western society. If lung cancer is found and treated early, before it has spread to lymph nodes or other organs, the five-year survival rate is about 42%. However, few lung cancers are found at this early stage. Since most people with early lung cancer do not have any symptoms, only about 15% of lung cancers are found in the early stages.

15 There are two major types of lung cancer. The first is non-small cell lung cancer. The other is small cell lung cancer. If the cancer has features of both types, it is called mixed small cell/non-small cell cancer.

20 Non-small cell lung cancer (NSCLC) is the most common type of lung cancer, accounting for almost 80% of lung cancers. Risk factors for NSCLC include prior smoking, passive smoking, and radon exposure. The main types of NSCLC are squamous cell carcinoma, adenocarcinoma, bronchoalveolar carcinoma, large cell carcinoma, adenosquamous carcinoma, and undifferentiated carcinoma. Squamous cell carcinoma forms in cells lining the airways. Adenocarcinoma is the most common type of non-small cell lung cancer and is the form that often occurs in people who have never smoked.

25 Lung cancer is best treated when it is diagnosed early. However, most patients are not diagnosed until they exhibit symptoms. Symptoms of lung cancer include cough or chest pain, a wheezing sound when breathing, shortness of breath, coughing up blood, hoarseness, or swelling in the face and neck. When a patient exhibits symptoms of lung cancer, a bronchoscopy is performed so that cells from the walls of the bronchial

tubes may be examined and small pieces of tissue removed for biopsy. If the suspect tissue is unable to be obtained through this method, needle aspiration biopsy may be performed in which a needle is inserted between the ribs to draw cells from the lung, or surgery is performed to remove tissue for biopsy. Diagnosis of cancer is made by

5 examination of the characteristics of the cells under a microscope.

The following stages are used for classifying lung cancer:

Occult stage: Cancer cells are found in sputum, but no tumor can be found in the lung.

10 Stage 0: Cancer is only found in a local area and only in a few layers of cells. It has not grown through the top lining of the lung. Another term for this type of cell lung cancer is carcinoma in situ.

Stages I & II For a description, see a standard textbook in the field, e.g., DeVita et al., Principles and Practices of Oncology, 5.sup.th Edition, Lippincott-Ravey, pp. 858-911

15 Stage III: Cancer has spread to the chest wall or diaphragm near the lung; or the cancer has spread to the lymph nodes in the area that separates the two lungs (mediastinum); or to the lymph nodes on the other side of the chest or in the neck.

Stage III is further divided into stage IIIA (usually may be operated upon) and stage IIIB (usually may not be operated on).

20 Stage IV: Cancer has spread to other parts of the body.

Recurrent: Cancer has come back (recurred) after previous treatment.

Treatment for lung cancer depends on the stage of the disease, the age of the patient, and the overall condition of the patient. Patients may be divided into three groups, depending on the stage of the cancer and the treatment that is planned. The 25 first group (stages 0, I, and II) includes patients whose cancers can be taken out by surgery. The second group (stage III) of patients has lung cancer that has spread to nearby tissue or to mediastinal or supraclavicular lymph nodes. These patients may be treated with radiation therapy alone or with surgery and radiation, chemotherapy and radiation, or chemotherapy alone. The group of patients with most advanced lung cancers (stage IV) are generally treated with chemotherapy alone, or a combination of chemotherapy and radiation therapy. Surgery generally is not a treatment option for

Stage IV lung cancer. The most effective treatment is chemotherapy, either alone or in combination with radiation therapy. The exact treatment depends on the extent of the cancer (limited or extensive stage).

There is a need in the art for improved methods for detecting and treating

5 cancers, including lung cancers.

### Relevant Literature

Of interest are U.S. Patent Nos.; 6,667,154 and 6,509,316, as well as published application nos. 20030219768; 20030236209; 20020192228 and 20020035060. Also of interest are: Garber et al., Proc. Nat'l Acad. Sci. USA (2001) 98:13784-13789;

10 Troyanskaya et al., Bioinformatics. (2002) 18:1454-61.

### SUMMARY OF THE INVENTION

Methods and compositions for use in evaluating and treating neoplastic disease conditions are provided. In certain embodiments of the subject invention, the presence of at least one target protein associated with cellular locomotion, e.g., a nucleus-associated ribbon-like structure protein, is determined in a cell to make an evaluation regarding the cell and/or host from which the cell was obtained. In yet other embodiments, the activity of at least one target protein associated with cellular locomotion, e.g., nucleus-associated ribbon-like structure protein is modulated, e.g., inhibited. In certain embodiments, the target protein is present in a nucleus-associated ribbon-like structure. Also provided are kits and pharmaceutical compositions that find use in various embodiments of the subject invention. The invention finds use in a variety of different applications, including both diagnostic and therapeutic applications.

25

### BRIEF DESCRIPTION OF THE FIGURES

**Figure 1.** cDNA microarray analysis showed characteristic gene expression patterns for five genes across two lung cancer datasets. Gene expression patterns for both the adenocarcinoma (adeno) and squamous tumor datasets were visualized in TreeView. A) Expression of 5 genes across 6 normal lung tissues, indicated by the asterisk, and 35 adenocarcinomas of the lung. The definition of adeno groups 1-3, as well as raw data files for all lung adenocarcinomas, was described previously [Garber, Proc Natl

Acad Sci U S A. (2001), 98(24):13784-9]. ([http://genome-www.stanford.edu/lung\\_cancer/adeno](http://genome-www.stanford.edu/lung_cancer/adeno)). Group 3 lung adenocarcinomas were relatively poor prognosis. The data for each gene was median centered. B) Expression of 5 genes across 3 normal lung tissues and 67 lung and head/neck squamous tumors (see figures 12 and 13 below). An asterisk denotes normal lung tissue samples. The data for each gene was median centered.

**Figure 2.** Peptide affinity-purified polyclonal antisera to five proteins were used for western blot analysis. Protein lysates were prepared from both D51 (*adeno*) and HBEC cell cultures, as indicated above the lane. Proteins (30 ug lysate) were separated on 4-20% gradient SDS-PAGE and blots were probed with polyclonal antisera to NTRK2/TrkB (lanes 1,2), Hs.135056 (lanes 3,4), TRIM29 (lanes 5,6), OKL38 (lanes 7,8), and LTB4DH (lanes 9,10). Molecular weight standards are shown.

**Figure 3.** LTB4DH, Hs.135056, and TRIM29 proteins localized to structures consistent with the centriole. A) Immunofluorescence microscopy and double-label experiments localized LTB4DH (green, FITC) and centrin (red, rhodamine) in a mitotic cell. DNA (blue) was stained with DAPI. Localization of LTB4DH to small foci during cell division was visualized most effectively using a pre-wash with Triton detergent prior to cell fixation (see Methods). Images were obtained on DeltaVision (150X) followed by deconvolution. B) Immunofluorescence microscopy localized LTB4DH (green) in a D51 cell during interphase. Conditions were identical to 3A). Centrin did not localize at all, and is not shown. C) Immunofluorescence microscopy localized Hs.135056 (green, FITC) in mitotic D51 cells. Conditions were identical to 3A). D) Immunofluorescence microscopy and double-label experiments localized TRIM29 (green, FITC) in a mitotic HBEC. Cells were not treated with a Triton pre-wash prior to fixation. E) Immunohistochemical detection of Hs.135056 (top) and TRIM29 (bottom) in human lung respiratory epithelium. Normal ciliated epithelium adjacent to adenocarcinoma of the lung was taken from paraffin-embedded, archival specimens. The tissue was counterstained with hematoxylin (blue), which stains nuclei. The cytoplasm remains unstained and appears clear. The chromogen DAB (brown) indicates the presence of

Hs.135056 or TRIM29 protein. Immunohistochemical stains for the two proteins were done on lung specimens from two different patients.

**Figure 4.** Immunofluorescence microscopy localized LTB4DH to a ribbon-like structure in primary human bronchial epithelial cell cultures. A) Double-label experiments to LTB4DH (green, FITC) (*right*), alpha-tubulin (red, rhodamine) (*center*), and DNA (blue, DAPI). Three channels were merged (*left*). Images were taken on DeltaVision (60X) followed by deconvolution. B) LTB4DH (green) localized to a ribbon-like structure that spanned the length of the nucleus (blue). Conditions were identical to 4A). C) LTB4DH (green) localized to a ribbon-like structure that sits in a nuclear groove. Conditions were identical to 4A).

**Figure 5.** Hs.135056 and centrin proteins localized to a ribbon-like structure in primary human bronchial epithelial cell cultures using immunofluorescence microscopy. A) Double-label experiments to Hs.135056 (green, FITC) and alpha-tubulin (red, rhodamine). DNA was stained with DAPI (blue). Sections (0.8 micron) from the bottom (left) and top (right) of the cell were optically separated using DeltaVision software. B) Double-label experiments of a binucleated cell to Hs.135056 (green, FITC) and centrin (red, rhodamine). DNA was stained with DAPI (blue). Three channels were merged (left) or centrin alone (right).

**Figure 6.** Immunofluorescence microscopy localized OKL38 protein to a ribbon-like structure in primary human bronchial epithelial cell cultures. A) Double-label experiments to OKL38 (green, FITC) and alpha-tubulin (red, rhodamine) co-localized the proteins to the mitotic spindle of a dividing cell. The cell culture was asynchronous. DNA was stained with DAPI (blue). B) Double-label experiments to OKL38 and alpha-tubulin in an interphase cell. Optical sections from top to bottom of the cell (50 planes at 0.2 microns each) were deconvolved and volume rendered using DeltaVision software. C) The cell in B) was optically sliced through the nucleus, perpendicular to the ribbon-like structure, and the slice was rotated toward the viewer using Volocity software. The still frame shown in C) was taken from the movie. D) Double-label

experiments to OKL38 (left) and alpha-tubulin (right). OKL38 localized to a ribbon-like structure with a tripod orientation. E) Optical sections from D) (50 planes at 0.2 microns each) were volume rendered, optically sliced, and rotated toward the viewer as described in B).

5

**Figure 7.** The ribbon-like structure was observed in cells differentially sensitive to nocodazole. Primary human bronchial epithelial cell cultures were treated with nocodazole and allowed to recover in drug-free medium as described in Methods. The timeline for nocodazole treatment is shown at the top of the figure. Using immunofluorescence microscopy and double-label experiments to alpha-tubulin (red, rhodamine), microtubules re-polymerized within a 20 minute recovery from nocodazole treatment (left). A cell that failed to re-polymerize microtubules within the 20 minute recovery localized Hs.135056 (green, FITC) to a ribbon-like structure (right). DNA was stained with DAPI (blue). Two channels, including DAPI, were merged (center).

10

**Figure 8.** Using immunofluorescence microscopy, TRIM29 and NTRK2/TrkB proteins localized to primary human bronchial epithelial cells that were differentially sensitive to nocodazole. A) Triple-label experiments to filamentous actin (green, phalloidin-rhodamine) and alpha-tubulin (red, Cy5). Cells were treated with nocodazole and allowed to recover as described in Methods. A single cell in the field of view failed to re-polymerize microtubules within the 20 minute recovery from nocodazole (center cell). DNA was stained with DAPI (blue). B) The same cell in A) that failed to re-polymerize microtubules within the 20 minute recovery localized TRIM29 (green, FITC) to a ribbon-like structure. Filamentous actin (phalloidin-rhodamine) is now shown in red. C) Triple-label experiments to filamentous actin (green, phalloidin-rhodamine) and alpha-tubulin (red, Cy5), as indicated in A). D) The same cell shown in C) that failed to re-polymerize microtubules within the 20 minute recovery localized NTRK2/TrkB (green, FITC) to vesicles in the vicinity of an actin protrusion. Filamentous actin (phalloidin-rhodamine) is now shown in red.

**Figure 9.** TRIM29 fused to GFP localized specifically to cellular structures in primary HBEC cultures, unless indicated otherwise. Cells were infected with a retrovirus expressing TRIM29 fused to GFP (see Methods). TRIM29 (GFP, green), actin (red, 5 phalloidin-rhodamine), and DNA (blue, DAPI) were visualized by fluorescence microscopy (60X objective, DeltaVision). Images were deconvolved unless specified. A) TRIM29 fused to GFP localized to a structure consistent with the number, size, and location of the centriole in the kidney cell line 293T. The image represents seven stacked optical sections (1.4 microns). B) TRIM29-GFP localized to multiple structures 10 very similar to the size of a centriole/basal body. The image represents a single optical plane (0.2 microns) and was not deconvolved. C) TRIM29-GFP localized to a ribbon-like structure. Stacked images (2.2 microns) were taken from the top half of the cell. D-F) TRIM29-GFP localized to one of two nuclei in a bi-nucleated cell. Six optical sections 15 (1.2 microns) were taken from both the bottom (D) and top (E, F) of the same cell. Images shown in E) and F) were taken from the top of the cell shown in D) with an expanded view of the nucleus and ribbon structure and with (E) or without (F) the red channel representing the actin filaments. G) TRIM29-GFP localized to ribbon-like structures in the human cell line 293T. 48 optical sections (0.2 microns each) were stacked in volume view.

20

**Figure 10.** Confocal microscopy and live cell images localized TRIM29-GFP to a ribbon-like structure in a migrating bronchial epithelial cell. The movie sequence represents 16 time points that spanned 1.5 hours. Each time point is a compilation of 26 optical planes (0.45 microns) stacked in volume view. Still frames representing time 25 points 1, 3, 5, and 7 are shown in A). Still frame #1 was rendered in 3D and the ribbon-like structures were rotated in virtual space. Two different orientations of the rendered object are shown in B).

**Figure 11.** A hypothetical model suggests function for five proteins that predict poor 30 prognosis in patients with adenocarcinoma of the lung. A migrating tumor cell extends a leading edge in the direction of cell migration. Three of the five proteins localized to a

centriole (red dot). Four of the five proteins localized to a ribbon-like structure (green) that extended from the centriole toward the nucleus (purple). The nucleus migrates down this localized track in the direction of cell migration. The proteins therefore contribute to active nuclear positioning during cell migration, a process referred to as 5 nucleokinesis. All five proteins localized to cells that were differentially sensitive to nocodazole, a drug that inhibits the polymerization of microtubules (orange fibers).

10 **Figure 12.** Gene expression patterns segregate human squamous tumors from lung and head/neck. 40 lung (LC) and 27 head/neck (HN) squamous tumors were sorted by unsupervised hierarchical clustering. Patient identification numbers are shown below the dendogram branches. Lung SCC (blue) and head/neck (orange) were colored for simplicity. Resected tumors, including three normal lung samples (normal, black), were obtained from Charite Hospital, Berlin.

15 **Figure 13.** Gene expression patterns associated with squamous tumors. A) 70 tissues (columns) and 2150 genes (rows) were sorted by hierarchical cluster based on a similarity of gene expression. Gene clusters were extracted in the region designated by the colored bar and expanded to the right. B, C) Squamous tumors from head/neck and lung showed differential gene expression. D) Squamous lung tumors share gene 20 expression patterns characteristic of normal lung. Genes indicated by the arrow were selected for immunohistochemistry or in situ hybridization (see figure 14). Due to space restrictions, only select gene names are shown.

25 **Figure 14.** Genes were expressed in squamous tumor cells using formalin-fixed, paraffin-embedded human tumors. The name of the gene is shown above each picture. In situ hybridization identified MGC-14128 and CAII mRNAs in SCC of the head/neck. In situ hybridization localized LTB4DH, OKL38, and SFTPA1 mRNAs in SCC of the lung. Immunohistochemistry and affinity-purified antisera localized AKR1C3, NTRK2/TrkB, and Hs.135056 protein in SCC of the lung.

30

5 **Figure 15.** Using immunohistochemistry and specific antiserum, TRIM29 protein localized to several non-tumor human tissues. Formalin-fixed, paraffin-embedded tissues included colon adenoma (A), appendix (B), bronchial epithelium of the lung (C), and neurons from the basal ganglia (D).

10 **Figure 16.** Immunohistochemistry showed that TRIM29 protein was expressed in adenocarcinoma of the lung (A) and squamous cell carcinoma of the head/neck (B). SLC7A5 protein localized to the plasma membrane in adenocarcinoma of the lung (C). Resected tumor specimens were formalin-fixed and paraffin-embedded.

15 **Figure 17.** Kaplan-Meier analysis showed that immunohistochemical stains for TRIM29 and SLC7A5 proteins predicted poor prognosis for adenocarcinoma of the lung. The analysis included 39 formalin-fixed, paraffin-embedded lung adenocarcinomas with clinical follow-up (shown in days). Patients were segregated into two groups based on immunohistochemical stains. A positive stain for both proteins (blue line) represented one group; a positive for one or no protein (green line) represented the second group. An event refers to the number of patients in each group that died from the tumor.

20 DESCRIPTION OF THE SPECIFIC EMBODIMENTS

Methods and compositions for use in evaluating and treating neoplastic disease conditions are provided. In certain embodiments of the subject invention, the presence of at least one target protein associated with cellular locomotion, e.g., a nucleus-associated ribbon-like structure protein, is determined in a cell to make an evaluation regarding the cell and/or host from which the cell was obtained. In yet other embodiments, the activity of at least one target protein associated with cellular locomotion, e.g., nucleus-associated ribbon-like structure protein is modulated, e.g., inhibited. In certain embodiments, the target protein is part of a nucleus-associated ribbon-like structure. Also provided are kits and pharmaceutical compositions that find use in various embodiments of the subject invention. The invention finds use in a variety of different applications, including both diagnostic and therapeutic applications.

Before the subject invention is described further, it is to be understood that the invention is not limited to the particular embodiments of the invention described below, as variations of the particular embodiments may be made and still fall within the scope 5 of the appended claims. It is also to be understood that the terminology employed is for the purpose of describing particular embodiments, and is not intended to be limiting. Instead, the scope of the present invention will be established by the appended claims.

10 In this specification and the appended claims, the singular forms "a," "an" and "the" include plural reference unless the context clearly dictates otherwise. Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood to one of ordinary skill in the art to which this invention belongs.

15 Where a range of values is provided, it is understood that each intervening value, to the tenth of the unit of the lower limit unless the context clearly dictates otherwise, between the upper and lower limit of that range, and any other stated or intervening value in that stated range, is encompassed within the invention. The upper and lower limits of these smaller ranges may independently be included in the smaller ranges, and 20 are also encompassed within the invention, subject to any specifically excluded limit in the stated range. Where the stated range includes one or both of the limits, ranges excluding either or both of those included limits are also included in the invention.

25 Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood to one of ordinary skill in the art to which this invention belongs. Although any methods, devices and materials similar or equivalent to those described herein can be used in the practice or testing of the invention, representative methods, devices and materials are now described.

All publications mentioned herein are incorporated herein by reference for the purpose of describing and disclosing the components that are described in the publications which might be used in connection with the presently described invention.

5 In further describing the subject invention, the subject methods of are described first in greater detail, followed by a review of various representative applications in which the methods find use. Finally, systems and kits that find use in practicing various aspects of the subject invention are discussed.

10 **METHODS**

As summarized above, the subject invention provides various methods, which may include both diagnostic and therapeutic methods. For ease of description, each of these broad categories of methods is reviewed separately below.

15

Diagnostic Methods

20 The subject invention provides methods of determining or diagnosing the metastatic propensity of a cell. As such, the subject invention provides methods of determining whether a tumor, from which an assayed cell is obtained, is metastatic. In other words, the subject invention provides methods of determining whether cells of a given tumor will spread from the tumor to other locations in a subject.

25 In practicing these embodiments of the subject methods, a cellular sample, e.g., biopsy, is obtained from a host and then assayed for at least the presence, and in certain embodiments the location, of at least one nucleus-associated ribbon-like structure protein. By "assayed for the presence" is meant that the cell is screened for the presence or absence of one or more target proteins. When the assay includes determining the location of the one or more target proteins, the cell is also screened to identify the cellular location of one or more target proteins, if present. In other words, the 30 location of a target protein or proteins is determined when the particular target protein(s) is present.

By at least one target protein is meant that a given assay according to the present invention will screen or test a cell for one or more target proteins, e.g., two or more target proteins, such as three or more target proteins, four or more target proteins, five or more target proteins, etc.

5 A feature of the subject invention is that the target proteins are proteins associated with cellular locomotion, e.g., of metastatic cells, and in certain embodiments are nucleus-associated ribbon-like structure proteins. By cellular locomotion protein is meant a protein that is involved or associated with cellular motility or movement from a first to a second location. In many embodiments, the cellular locomotion protein is a metastatic cellular locomotion protein, by which is meant that the protein is directly involved in the metastatic movement of a cell from one location to another, and therefore the metastatic phenotype of the cell.

10

In certain embodiments, the at least one target protein is a leading edge cellular locomotion protein. By leading edge cellular locomotion protein is meant that the 15 protein, when present in a metastatic cell, localizes to vesicles near or proximal to the leading edge of the cell membrane. A representative leading edge cellular locomotion protein is Neurotrophic tyrosine kinase receptor type 2 (NTRK2/TrkB). Human NTRK2 is described in Nakagawa et al., Genomics. 1995 Jan 20;25(2):538-46. The amino acid sequence and nucleic acid coding sequences therefore for human NTRK2 are 20 deposited with Genbank at accession nos. U12140 and NM\_006180.

In certain embodiments, the at least one target protein is a nucleus-associated ribbon-like structure protein. Representative nucleus-associated ribbon-like structure 25 proteins of interest include, but are not limited to: leukotriene B4 12-hydroxydehydrogenase (LTB4DH); Pregnancy-induced growth inhibitor (OKL38); Hs.135056 (C20orf139); and Tripartite-containing motif 29 (TRIM29). Human LTB4DH is described in Yokomizu, T et al. (1996) J Biol Chem 271:2844-2850. The amino acid sequence and nucleic acid coding sequence therefore for human LTB4DH is deposited with Genbank at accession no. NM\_012212. Human OKL38 is described in Huynh, H. et al. (2001) Endocrinology 142:3607-3615. The amino acid sequence and nucleic acid 30 coding sequence therefore for human OKL38 is deposited with Genbank at accession no. NM\_013370. The amino acid sequence and nucleic acid coding sequence therefore

for human Hs.135056 is deposited with Genbank at accession no. NM\_080725. Human TRIM29 is described in Kapp, LN et al. (1992) Am J Hum Genet 51(1):45-54. The amino acid sequence and nucleic acid coding sequence therefore for human TRIM29 is deposited with Genbank at accession no. NM\_012101.

5        Also of interest as target proteins are proteins whose gene expression in known cancerous cells, e.g., lung cancer cells such as adenocarcinoma and squamous cell carcinoma cells, is similar to the gene expression of one of the above specific target cell proteins in such cells. For purposes of the invention, the expression of any two given genes is considered similar if, using the protocol described in Pearson correlation, the 10 similarity of expression is at least about 0.70. Specific representative additional target proteins of interest include, but are not limited to: cyp4 proteins, including but not limited to: human cyp4F2 (described in Kikuta et al. (1999) DNA Cell Biol 18:723-730; and having an amino acid sequence and nucleic acid coding sequence therefore deposited with Genbank at accession no. NM\_001082); human cyp4F3 (described in Kikuta et al. 15 (1993) J Biol Chem 268:9376-9380; and having an amino acid sequence and nucleic acid coding sequence therefore deposited with Genbank at accession no. NM\_000896); human cyp4F8 (described in Byland, J et al (1999) Biochem Biophys Res Comm 261(1):169-174; and having an amino acid sequence and nucleic acid coding sequence therefore deposited with Genbank at accession no. NM\_007253); human cyp4F11 20 (described in Cui, X et al. (2000) 68 (2):161-166; and having an amino acid sequence and nucleic acid coding sequence therefore deposited with Genbank at accession no. NM\_021187); and human cyp4F12 (described in Byland, J et al. (2001) Biochem Biophys Res Commun. 280(3):892-7; and having an amino acid sequence and nucleic acid coding sequence therefore deposited with Genbank at accession no. NM\_023944).

25        Also of interest as target proteins are homologs or proteins (or fragments thereof) of the above specific proteins, e.g., from the same or other species, i.e. other animals, where such homologs or proteins may be from a variety of different types of species, such as mammals, e.g., rodents, such as mice, rats; domestic animals, e.g., horse, cow, dog, cat; and humans. By homolog is meant a protein having at least about 35 %, such 30 as at least about 40% and including at least about 60 % amino acid sequence identity to sequences of the specific proteins listed above, wherein in certain embodiments the

homolog is a protein that has a sequence that is substantially the same as the sequence of one of the above specified target proteins, where two given sequences are considered to be substantially the same if they share a sequence similarity of at least about 75 %, including at least about 80, 85, 90, 95, 97, 99 % or higher, including 100 %

5 identify. Sequence similarity is calculated based on a reference sequence, which may be a subset of a larger sequence, such as a conserved motif, coding region, flanking region, etc. A reference sequence will usually be at least about 6 residues long, such as at least about 10 residues long, and may extend to the complete sequence that is being compared. Algorithms for sequence analysis are known in the art, such as BLAST,

10 described in Altschul et al. (1990), J. Mol. Biol. 215:403-10, etc. Unless specified otherwise, all sequence identity values provided herein are determined using GCG (Genetics Computer Group, Wisconsin Package, Standard Settings, gap creation penalty 3.0, gap extension penalty 0.1).

In certain embodiments, a given cell or collection of cells is assayed for the presence of a subcellular structure made up of one or more (e.g., two or more) of the above described target proteins. The subcellular target structure of interest may be made up of two or more of the above target proteins, e.g., three or more of the above target proteins, including four or more of the above target proteins.

In certain representative embodiments, the cell is assayed for the presence of a nucleus-associated ribbon-like structure. The nucleus-associated ribbon-like structure for which a cell is screened in these embodiments is one that is made up of one or more of: leukotriene B4 12-hydroxydehydrogenase (LTB4DH); Pregnancy-induced growth inhibitor (OKL38); Hs.135056 (C20orf139); and Tripartite-containing motif 29 (TRIM29); where one or more additional proteins may also be present. This target nucleus piercing ribbon-like structure is further described in the Experimental section, below.

Cells can be assayed for presence of one or more target proteins according to a variety of different methods, the particular method employed not being critical to practice of the subject method. Representative methods of protein detection are now 30 reviewed for convenience.

Individual target proteins, or composite structures thereof, can be determined in cells in biopsy material according to the subject methods by conventional methods well known to those of skill in the art. Such methods are described in many standard textbooks and laboratory manuals. For instance, the techniques for making and using 5 antibody and other immunological reagents and for detecting particular proteins in samples using such reagents are described in CURRENT PROTOCOLS IN IMMUNOLOGY, Coligan et al., Eds., John Wiley & Sons, New York (1995). As another example, immunohistochemical methods for determining proteins in cells in tissues are described in Volume 2, Chapter 14 of CURRENT PROTOCOLS IN MOLECULAR 10 BIOLOGY, Ausubel et al., Eds., John Wiley & Sons, Inc. (1994). Finally, Linnoila et al., A.J.C.P. 97(2) : 235-243 (1992) and Peri et al., J. Clin. Invest. 92: 2099-2109 (1993). For instance, the amount of one or more of the above described target proteins in a 15 sample can be determined in accordance with the invention by histochemical methods set out in Miyamoto et al., J. Urology 149: 1015-1019 (1993). As described therein, for instance, suitable biopsy material is obtained from a patient suspected of having benign or neoplastic tumor, e.g., benign lung hyperplasia or lung carcinoma, and immediately 20 placed into 0.01M phosphate buffered saline. Thereafter, the material is immediately processed. It is mounted on a brass plate using rat liver homogenate as an adhesive. The material then is frozen in liquid nitrogen-cooled isopentane. Sections suitable for assay of target proteins in cells of the material are sectioned in a cryostat. Sections are obtained across the biopsy material, avoiding parts of the biopsy material that are 25 damaged or deleteriously altered by the removal process.

Sections are dried at room temperature, fixed and then washed.

Paraformaldehyde is a particularly useful fixative in this regard, but many other fixatives 25 also can be used. The sections may be pretreated with hydrogen peroxide and a non-ionic detergent, such as Triton X-100. Also, sections may be incubated with a blocking solution to reduce non-specific binding. For instance, the sections may be incubated with goat blocking serum prior to incubation with a goat serum, goat antibody or goat antibody-derived reagent.

30 The target protein(s) then is visualized for determination in the samples using a target protein-specific binding reagent, such as a monoclonal or a polyclonal anti-target

protein antibody. Binding of the target protein-specific reagent to cells in the sections may be determined directly, if the reagent has been conjugated to a detectable label, or using a second or additional reagents, such as a secondary antibody-enzyme conjugate.

5 In certain embodiments of the invention, the target protein-specific reagent is an antiserum, a polyclonal antibody, a derivative of a polyclonal antibody, a monoclonal antibody, a derivative of a monoclonal antibody or an engineered antibody, such as a single chain antibody. Derivatives of monoclonal and polyclonal antibodies include conjugates and fragments. Antibodies conjugated to detectable labels may be employed  
10 for these purposes. Among detectable labels are enzymes such as horseradish peroxidase. Among fragments employed in this regard are Fab fragments, F(ab')<sub>2</sub> fragments and F(ab') fragments.

Sections are incubated with target protein-specific reagent under conditions effective for the target protein-specific reagent to bind efficiently to target protein in the  
15 cells, while binding to other cellular components is inefficient; i.e., under conditions effective for the ratio of specific to non-specific binding to provide accurate determination of target protein content in cells of the biopsy material.

At the same time, control sections may be incubated under the same conditions with a corresponding reagent that is not specific for the target protein(s) to estimate  
20 background binding. For polyclonal immune serum, for instance, control sections can be incubated with preimmune serum to monitor background, non-specific binding. After the incubation period, the specific reagent, and any reagent used in the controls, is removed, as by washing.

If the primary, target protein-specific reagent is detectably labeled, then the label  
25 may be determined and, thereby the target protein content of cells in the sample. In this case, controls preferably would be labeled and would be determined in like fashion. More often, and preferably, a secondary reagent is used to visualize binding of reagents on the sections, as described below.

After removing unbound specific and non-specific reagents, test and control  
30 sections are incubated with a secondary reagent that binds specifically to the primary,

target protein specific reagent and its counterpart in the controls. For example, the secondary reagent is a biotinylated anti-antibody.

The sections are incubated with the secondary reagent under conditions for the reagent to bind efficiently to the primary reagent (and its counterpart in the controls) in the cells, while binding to other cellular components is inefficient; i.e., under conditions effective for the ratio of specific to non-specific binding to provide accurate determination of target protein content in cells of the biopsy material.

Thereafter, the unbound fraction of the secondary reagent is removed from the sections. The secondary reagent, and its counterpart in the controls, then is determined.

10 If the secondary reagent comprises a detectable label, incubation with a tertiary reagent generally will not be necessary. However, use of a tertiary reagent comprising a detectable label is more commonly employed for immunocytochemical analysis, generally. Therefore, for illustrative purposes, the three component assay is described here.

15 In certain embodiments, the relative staining of diseased and normal cells in a section is compared with staining in control cells. The control cells are reference standards which typify results obtained by a given procedure in normal cells, cells characteristic of benign tumors, and cells characteristic of malignant tumors (metastatic cells). Within any category, moreover, control cells may provide a graded or gradient 20 series of characteristic standard or normal results. Target protein in control cells may be determined at the same time target protein is determined in cells of the biopsy sample, or at another time. In certain embodiments of the invention, target protein is determined in control cells which serve as a standard reference series for subsequent clinical assays.

25 As is known, suitable reagents and conditions for carrying out the determination of one or more target proteins in cells in biopsy samples are well known and readily available. A multiplicity of procedures and reagents can be effectively employed for this purpose. Such reagents and techniques routinely are employed by those of skill in the arts of immunocytochemistry, histopathology and cytology. Additional details regarding 30 representative protocols that may be employed can be found in the Experimental section, below.

In certain embodiments, the methods make include a step in which the detected presence of at least one cellular locomotion protein is compared with a reference or control in order to characterize the metastatic propensity or state of the cell, as well as the tumor from which it was obtained/derived, e.g., to determine whether the tumor from 5 which the cell was obtained is benign or malignant. The terms "reference" and "control" as used herein mean a standardized data set to be used to interpret the observed data of a given patient and assign a prognostic class thereto. The reference or control may be a data set that is obtained from a cell/tissue known to have the desired phenotype, e.g., metastatic phenotype, including specific stage of a disease, and therefore may be 10 a positive reference or control profile. In addition, the reference/control may be from a cell/tissue known to not have the desired phenotype, and therefore be a negative reference/control.

In certain embodiments, the obtained data set is compared to a single reference/control to obtain information regarding the phenotype of the cell/tissue being 15 assayed. In yet other embodiments, the obtained data set is compared to two or more different reference/controls to obtain more in depth information regarding the phenotype of the assayed cell/tissue. For example, the obtained data set may be compared to a positive and negative reference/control to obtain confirmed information regarding whether the cell/tissue has the phenotype of interest, e.g., a metastatic phenotype (e.g., 20 characterized by the presence of a leading edge cellular locomotion protein and/or a nucleus associated ribbon-like structure).

The comparison of the obtained data set and the one or more reference/controls may be performed using any convenient methodology, where a variety of methodologies are known to those of skill in the art, e.g., by comparing digital images of 25 the data sets, by comparing databases data, etc.

The comparison step results in information regarding how similar or dissimilar the obtained data set is to the control/references, which similarity/dissimilarity information is employed to determine the phenotype of the cell/tissue being assayed, e.g., whether the cell has a metastatic phenotype. For example, similarity with a positive control indicates 30 that the assayed cell/tissue has a metastatic phenotype. Likewise, similarity with a negative control indicates that the assayed cell/tissue has a benign phenotype.

Depending on the type and nature of the reference/control(s) to which the obtained data set is compared, the above comparison step yields a variety of different types of information regarding the cell/tissue that is assayed. As such, the above comparison step can yield a positive/negative determination of a metastatic phenotype of an assayed cell/tissue.

In certain embodiments, the above-obtained information about the cell/tissue being assayed is employed to diagnose a host, subject or patient with respect to presence and/or stage of a neoplastic disease condition. As such, the above described methods find use in a variety of different applications, including the assessment or evaluation, e.g., in the form of a diagnosis, of the metastatic state or potential, e.g., propensity, of a cell and the tumor from which the cell is derived. As such, the subject methods find use in detecting the presence of a neoplastic disease state in a patient, as well in methods of staging such a disease state. For example, the subject methods may detect the presence of lung cancer in a patient, e.g., by determining that a cell obtained from a tumor found in the subject is metastatic because of the presence, and in certain embodiments location, of at least one target cellular locomotion protein, e.g., the presence of a nucleus-associated ribbon-like structure. The subject methods may also be employed in determining the stage of a particular lung cancer in a subject, and therefore be employed to make predictions about the outcome of the condition, e.g., make a lung cancer prognosis for the subject.

The subject methods may further find use in pharmacogenomic applications. In these applications, a subject/host/patient is first diagnosed for the presence and/or stage of a neoplastic disease condition using a protocol such as the diagnostic protocol described above. The subject is then treated using a pharmacological protocol, where the suitability of the protocol for a particular subject/patient is determined using the results of the diagnosis step. More specifically, where the identified phenotype is metastatic, an appropriate therapeutic treatment protocol is then employed to treat the patient is then determined and employed.

## SCREENING ASSAYS

Also provided by the subject invention are screening protocols and assays for identifying agents that modulate, e.g., inhibit or enhance, the production/activity of the

5 above-described nucleus-associated ribbon-like structure. The screening methods include assays that provide for qualitative/quantitative measurements of the presence and/or location of the nucleus-associated ribbon-like structure. Assays of interest include assays that detect the presence or absence of the nucleus-associated ribbon-like structure in the presence and absence of a candidate inhibitor agent. The screening

10 method may be an in vitro or in vivo format. In an exemplary screening protocol, cells are contacted with a candidate agent whose activity is being assayed, and the cells are then imaged, e.g., using the method described below in the experimental section, to detect the presence or absence of the nucleus-associated ribbon-like structure. The result is then compared to a control or reference, e.g., a like cell not exposed to the

15 candidate agent, in order to obtain information about the activity of the assayed candidate agent.

A variety of different candidate agents may be screened by the above methods. Candidate agents encompass numerous chemical classes, though typically they are organic molecules, preferably small organic compounds having a molecular weight of

20 more than 50 and less than about 2,500 daltons. Candidate agents comprise functional groups necessary for structural interaction with proteins, particularly hydrogen bonding, and typically include at least an amine, carbonyl, hydroxyl or carboxyl group, preferably at least two of the functional chemical groups. The candidate agents often comprise cyclical carbon or heterocyclic structures and/or aromatic or polyaromatic structures

25 substituted with one or more of the above functional groups. Candidate agents are also found among biomolecules including peptides, saccharides, fatty acids, steroids, purines, pyrimidines, derivatives, structural analogs or combinations thereof.

Candidate agents are obtained from a wide variety of sources including libraries of synthetic or natural compounds. For example, numerous means are available for

30 random and directed synthesis of a wide variety of organic compounds and biomolecules, including expression of randomized oligonucleotides and oligopeptides.

Alternatively, libraries of natural compounds in the form of bacterial, fungal, plant and animal extracts are available or readily produced. Additionally, natural or synthetically produced libraries and compounds are readily modified through conventional chemical, physical and biochemical means, and may be used to produce combinatorial libraries.

5 Known pharmacological agents may be subjected to directed or random chemical modifications, such as acylation, alkylation, esterification, amidification, etc. to produce structural analogs.

Agents identified in the above screening assays find use in a variety of applications, including the representative applications described below.

10

#### **THERAPEUTIC METHODS**

As summarized above and described in more detail below, the subject invention provides therapeutic methods. In a broad sense, such methods in certain embodiments 15 may be viewed as methods of modulating movement of genomic material in a cell. In certain embodiments, the methods may be viewed as methods of modulating cellular movement from a first to a second location in a host, and in particular cellular movement of a metastatic cell from a first to a second location in a host. By modulating is meant that the subject methods provide a means for changing, e.g., enhancing, inhibiting, 20 impairing, altering, etc., genomic material movement, cellular locomotion, in a host, as compared to a control. In certain embodiments, the modulation is an inhibition, e.g., at least a reduction up to and including a complete cessation, of movement of the target cell in the subject. The desired modulation may result from one or more different mechanisms. For example, the subject invention may change/influence/alter cellular 25 movement through modulation, either directly or indirectly, of the transcription or expression of genes encoding the target proteins identified above in cell. Alternatively, the modulation may be achieved through changing, e.g., inhibition, of the activity of one or more target cellular locomotion proteins in a cell. Accordingly, in certain embodiments an agent may be employed that acts by interfering or modulating the activity of one or 30 more target proteins, e.g., by inhibiting or impairing the formation of a functional nucleus-associated ribbon-like structure.

A variety of different types of molecules may be used as an active agent in a given method. As such, active agents of interest include, but are not limited to: small or low molecular weight compounds, peptides, polypeptides and proteins (including intrabodies); nucleic acids, e.g., antisense molecules, and the like. Of interest in certain 5 embodiments are small molecule compounds. Naturally occurring or synthetic small molecule compounds of interest include numerous chemical classes, though typically they are organic molecules, preferably small organic compounds having a molecular weight of more than 50 and less than about 2,500 daltons. Candidate agents comprise functional groups necessary for structural interaction with proteins, particularly hydrogen 10 bonding, and typically include at least an amine, carbonyl, hydroxyl or carboxyl group, preferably at least two of the functional chemical groups. The candidate agents often comprise cyclical carbon or heterocyclic structures and/or aromatic or polyaromatic structures substituted with one or more of the above functional groups. Candidate 15 agents are also found among biomolecules including peptides, saccharides, nucleic acids, fatty acids, steroids, purines, pyrimidines, derivatives, structural analogs or combinations thereof.

As mentioned above, the subject invention provides methods of inhibiting cellular locomotion. In such methods, the target cell is contacted with an effective amount an active agent, where representative agents are described in detail above. The method of 20 contact may vary depending on the location/environment of the target cell and/or the specific nature of the inhibitory agent. For example, where the target cell is present in culture, contact may be achieved by introducing the agent into the culture medium. Alternatively, where the target cell is present in an animal, i.e., *in vivo*, contact may be achieved through administration of the agent to the animal. By effective amount is 25 meant the amount required to achieve the desired result, i.e., inhibition of metastatic cell motility, where such amounts may readily be determined empirically.

By "effective amount" is meant a dosage sufficient to produce the desired result, e.g., an inhibition of cellular movement, or an improvement in a disease condition or the symptoms associated therewith associated with or resulting from unwanted cellular 30 movement. The agent may be administered to the host using any convenient means capable of producing the desired result. Thus, the agent can be incorporated into a

variety of formulations for therapeutic administration. More particularly, the agent can be formulated into pharmaceutical compositions by combination with appropriate, pharmaceutically acceptable carriers or diluents, and may be formulated into preparations in solid, semi-solid, liquid or gaseous forms, such as tablets, capsules,

5 powders, granules, ointments, solutions, suppositories, injections, inhalants and aerosols. As such, administration of the agent can be achieved in various ways, including oral, buccal, rectal, parenteral, intraperitoneal, intradermal, transdermal, intracheal, etc., administration. In pharmaceutical dosage forms, the agent may be administered alone or in combination with other pharmaceutically active compounds.

10 The following methods and excipients are merely exemplary and are in no way limiting.

For oral preparations, the agent can be used alone or in combination with appropriate additives to make tablets, powders, granules or capsules, for example, with conventional additives, such as lactose, mannitol, corn starch or potato starch; with binders, such as crystalline cellulose, cellulose derivatives, acacia, corn starch or

15 gelatins; with disintegrators, such as corn starch, potato starch or sodium carboxymethylcellulose; with lubricants, such as talc or magnesium stearate; and if desired, with diluents, buffering agents, moistening agents, preservatives and flavoring agents.

The agent can be formulated into preparations for injection by dissolving,

20 suspending or emulsifying them in an aqueous or nonaqueous solvent, such as vegetable or other similar oils, synthetic aliphatic acid glycerides, esters of higher aliphatic acids or propylene glycol; and if desired, with conventional additives such as solubilizers, isotonic agents, suspending agents, emulsifying agents, stabilizers and preservatives.

25 The agent can be utilized in aerosol formulation to be administered via inhalation.

The agent can be formulated into pressurized acceptable propellants such as dichlorodifluoromethane, propane, nitrogen and the like.

Furthermore, the agent can be made into suppositories by mixing with a variety of bases such as emulsifying bases or water-soluble bases. The agents can be

30 administered rectally via a suppository. The suppository can include vehicles such as

cocoa butter, carbowaxes and polyethylene glycols, which melt at body temperature, yet are solidified at room temperature.

Unit dosage forms for oral or rectal administration such as syrups, elixirs, and suspensions may be provided wherein each dosage unit, for example, teaspoonful, 5 tablespoonful, tablet or suppository, contains a predetermined amount of the composition containing active agent. Similarly, unit dosage forms for injection or intravenous administration may comprise the active agent in a composition as a solution in sterile water, normal saline or another pharmaceutically acceptable carrier.

The term "unit dosage form," as used herein, refers to physically discrete units 10 suitable as unitary dosages for human and animal subjects, each unit containing a predetermined quantity of compounds of the present invention calculated in an amount sufficient to produce the desired effect in association with a pharmaceutically acceptable diluent, carrier or vehicle. The specifications for the novel unit dosage forms of the present invention depend on the particular compound employed and the effect to 15 be achieved, and the pharmacodynamics associated with each compound in the host.

The pharmaceutically acceptable excipients, such as vehicles, adjuvants, carriers or diluents, are readily available to the public. Moreover, pharmaceutically acceptable auxiliary substances, such as pH adjusting and buffering agents, tonicity adjusting agents, stabilizers, wetting agents and the like, are readily available to the public.

20 Those of skill will readily appreciate that dose levels can vary as a function of the specific compound, the severity of the symptoms and the susceptibility of the subject to side effects. Preferred dosages for a given compound are readily determinable by those of skill in the art by a variety of means.

The subject methods of these embodiments find use in a variety of different 25 applications, including the treatment of a variety of different disease conditions associated with the presence of metastatic cells, e.g., neoplastic disease conditions. As such, one disease condition of particular interest is neoplastic diseases, particularly those characterized by the presence of metastatic tumors. By treatment is meant at least an amelioration of the symptoms associated with the disease condition afflicting 30 the host, where amelioration is used in a broad sense to refer to at least a reduction in the magnitude of a parameter, e.g. symptom, associated with the pathological condition

being treated, such as size of tumor, rate of growth of tumor, spread of tumor, etc. As such, treatment also includes situations where the pathological condition, or at least symptoms associated therewith, are completely inhibited, e.g. prevented from happening, or stopped, e.g. terminated, such that the host no longer suffers from the 5 pathological condition, or at least the symptoms that characterize the pathological condition.

A variety of hosts are treatable according to the subject methods. Generally such hosts are "mammals" or "mammalian," where these terms are used broadly to describe organisms which are within the class mammalia, including the orders carnivore (e.g., 10 dogs and cats), rodentia (e.g., mice, guinea pigs, and rats), and primates (e.g., humans, chimpanzees, and monkeys). In many embodiments, the hosts will be humans.

Accordingly, the methods of these embodiments of the present invention may be applied to the treatment of a variety of cancers, e.g., of epithelial cell origin. Among 15 these are metastatic cancers of breast, lung, colon, bladder, lung, gastrointestinal track, endometrium, tracheal-bronchial tract, pancreas, liver, uterus, nasopharynges and the skin. In certain embodiments, the methods are employed in the treatment of lung cancer, including lung cancer of epithelial cell origin. Metastatic potential of lung cancers can be inhibited by methods of the invention. In certain embodiments, the methods of subject invention are employed to treat lung cancer, where specific lung 20 cancers of interest include, but are not limited to: adenocarcinoma and squamous cell carcinoma.

The subject methods may be used in conjunction with other treatment modalities. Other common treatment modalities are discussed below specifically by reference to lung cancer. It will be appreciated that similar consideration will apply to treatment of 25 other metastatic cancers. The present invention may be used in conjunction with any current or future therapy. Specific representative additional therapies of interest including surgery, radiation, hormonal therapy, chemotherapy, immunotherapy, cryotherapy, etc.

## PHARMACEUTICAL COMPOSITIONS

Also provided are pharmaceutical compositions containing the active agent(s), employed in the subject methods. The active agent(s), e.g., in the form of a pharmaceutically acceptable salt, can be formulated for oral or parenteral administration for use in the subject methods, as described above. In certain embodiments, e.g., where the compounds are administered as separate formulations (such as in those embodiments where they are administered sequentially), separate or distinct pharmaceutical compositions—each containing a different active agent, are provided. In yet other embodiments, a single formulation that includes all of the active agents (i.e., one composition that includes both active agents) is provided.

By way of illustration, the active compound(s) can be admixed with conventional pharmaceutical carriers and excipients (i.e., vehicles) and used in the form of aqueous solutions, tablets, capsules, elixirs, suspensions, syrups, wafers, and the like. Such pharmaceutical compositions contain, in certain embodiments, from about 0.1 to about 90% by weight of the active compound, and more generally from about 1 to about 30% by weight of the active compound. The pharmaceutical compositions may contain common carriers and excipients, such as corn starch or gelatin, lactose, dextrose, sucrose, microcrystalline cellulose, kaolin, mannitol, dicalcium phosphate, sodium chloride, and alginic acid. Disintegrators commonly used in the formulations of this invention include croscarmellose, microcrystalline cellulose, corn starch, sodium starch glycolate and alginic acid.

A liquid composition will generally consist of a suspension or solution of the compound or pharmaceutically acceptable salt in a suitable liquid carrier(s), for example, ethanol, glycerine, sorbitol, non-aqueous solvent such as polyethylene glycol, oils or water, with a suspending agent, preservative, surfactant, wetting agent, flavoring or coloring agent. Alternatively, a liquid formulation can be prepared from a reconstitutable powder.

For example, a powder containing active compound, suspending agent, sucrose and a sweetener can be reconstituted with water to form a suspension; and a syrup can be prepared from a powder containing active ingredient, sucrose and a sweetener.

A composition in the form of a tablet can be prepared using any suitable pharmaceutical carrier(s) routinely used for preparing solid compositions. Examples of such carriers include magnesium stearate, starch, lactose, sucrose, microcrystalline cellulose and binders, for example, polyvinylpyrrolidone. The tablet can also be

5 provided with a color film coating, or color included as part of the carrier(s). In addition, active compound can be formulated in a controlled release dosage form as a tablet comprising a hydrophilic or hydrophobic matrix.

A composition in the form of a capsule can be prepared using routine encapsulation procedures, for example, by incorporation of active compound and 10 excipients into a hard gelatin capsule. Alternatively, a semi-solid matrix of active compound and high molecular weight polyethylene glycol can be prepared and filled into a hard gelatin capsule; or a solution of active compound in polyethylene glycol or a suspension in edible oil, for example, liquid paraffin or fractionated coconut oil can be prepared and filled into a soft gelatin capsule.

15 Tablet binders that can be included are acacia, methylcellulose, sodium carboxymethylcellulose, poly-vinylpyrrolidone (Povidone), hydroxypropyl methylcellulose, sucrose, starch and ethylcellulose. Lubricants that can be used include magnesium stearate or other metallic stearates, stearic acid, silicone fluid, talc, waxes, oils and colloidal silica.

20 Flavoring agents such as peppermint, oil of wintergreen, cherry flavoring or the like can also be used. Additionally, it may be desirable to add a coloring agent to make the dosage form more attractive in appearance or to help identify the product.

The compounds of the invention and their pharmaceutically acceptable salts that 25 are active when given parenterally can be formulated for intramuscular, intrathecal, or intravenous administration.

A typical composition for intramuscular or intrathecal administration will be of a suspension or solution of active ingredient in an oil, for example, arachis oil or sesame oil. A typical composition for intravenous or intrathecal administration will be a sterile isotonic aqueous solution containing, for example, active ingredient and dextrose or 30 sodium chloride, or a mixture of dextrose and sodium chloride. Other examples are lactated Ringer's injection, lactated Ringer's plus dextrose injection, Normosol-M and

dextrose, Isolyte E, acylated Ringer's injection, and the like. Optionally, a co-solvent, for example, polyethylene glycol, a chelating agent, for example, ethylenediamine tetraacetic acid, and an anti-oxidant, for example, sodium metabisulphite may be included in the formulation. Alternatively, the solution can be freeze dried and then 5 reconstituted with a suitable solvent just prior to administration.

The compounds of the invention and their pharmaceutically acceptable salts which are active on rectal administration can be formulated as suppositories. A typical suppository formulation will generally consist of active ingredient with a binding and/or lubricating agent such as a gelatin or cocoa butter or other low melting vegetable or 10 synthetic wax or fat.

The compounds of this invention and their pharmaceutically acceptable salts which are active on topical administration can be formulated as transdermal compositions or transdermal delivery devices ("patches"). Such compositions include, for example, a backing, active compound reservoir, a control membrane, liner and 15 contact adhesive. Such transdermal patches may be used to provide continuous or discontinuous infusion of the compounds of the present invention in controlled amounts. The construction and use of transdermal patches for the delivery of pharmaceutical agents is well known in the art. See, e.g., U.S. Pat. No. 5,023,252, issued Jun. 11, 1991, herein incorporated by reference in its entirety. Such patches may be constructed 20 for continuous, pulsatile, or on demand delivery of pharmaceutical agents.

Optionally, the pharmaceutical composition may contain other pharmaceutically acceptable components, such as buffers, surfactants, antioxidants, viscosity modifying agents, preservatives and the like. Each of these components is well-known in the art. See, for example, U.S. Pat. No. 5,985,310, the disclosure of which is herein 25 incorporated by reference.

Other components suitable for use in the formulations of the present invention can be found in Remington's Pharmaceutical Sciences, Mace Publishing Company, Philadelphia, Pa., 17th ed. (1985).

## KITS & SYSTEMS

Also provided are kits and systems that find use in practicing the subject methods, as described above. For example, kits and systems for practicing the subject methods may include one or more pharmaceutical formulations. As such, in certain embodiments the kits may include a single pharmaceutical composition, present as one or more unit dosages, where the composition may include one or more expression/activity inhibitor compounds. In yet other embodiments, the kits may include two or more separate pharmaceutical compositions, each containing a different active compound.

In addition to the above components, the subject kits may further include instructions for practicing the subject methods. These instructions may be present in the subject kits in a variety of forms, one or more of which may be present in the kit. One form in which these instructions may be present is as printed information on a suitable medium or substrate, e.g., a piece or pieces of paper on which the information is printed, in the packaging of the kit, in a package insert, etc. Yet another means would be a computer readable medium, e.g., diskette, CD, etc., on which the information has been recorded. Yet another means that may be present is a website address which may be used via the internet to access the information at a removed site. Any convenient means may be present in the kits.

The term "system" as employed herein refers to a collection of two or more different active agents, present in a single or disparate composition, that are brought together for the purpose of practicing the subject methods.

25

The following examples are offered by way of illustration and not by way of limitation.

## EXPERIMENTAL

### I. MATERIALS AND METHODS

#### **A. Immunofluorescence microscopy.**

HBEC were grown to 70% confluence on 1 well-chambered coverglass (Lab-Tek II, Nunc, #1.5). For all experiments except the localization of LTB4DH and Hs.135056 to the centriole in D51 cells, which required a stringent wash prior to fixation, standard fixation conditions were used. For standard conditions, culture media was removed and the cells were fixed directly in PBS containing 3% formaldehyde for 20 minutes. For stringent conditions, cells were rinsed quickly in PHEM buffer (60 mM Pipes, 25 mM Hepes, 10 mM EGTA, 1 mM Mg-acetate, pH 6.9), lysed for 2 minutes in PHEM containing 0.5% Triton X100, and fixed in PHEM containing 3% formaldehyde for 20 minutes. All steps subsequent to cell fixation were identical. Cells were incubated with PBS containing 50 mM NH<sub>4</sub>Cl for 10 minutes, permeabilized in PBS containing 0.1% Triton X-100 at RT for 3 minutes, and blocked one hour in block buffer (PBS containing 0.75% BSA [GIBCO]). Primary antisera was diluted in block buffer to a final concentration of 1-10 ug/ml, depending on the antibody, and incubated with cells for 1.5 hours at room temperature. Conjugated secondary antibodies (diluted in block buffer to 2 ug/ml) were incubated for 45 minutes at room temperature. Phalloidin conjugated to rhodamine (Molecular Probes, 1 unit/ml final) was included during secondary antibody incubation where indicated in the figure legend. DNA was stained with 300 nM DAPI (Molecular Probes) in PBS for 5 minutes, and the cells were mounted in Prolong Antifade (Molecular Probes). Wide-field fluorescence microscopy (DeltaVision, Applied Precision) and subsequent deconvolution was used for all images that dealt with fixed cells. A 0.2-micron z-plane was used throughout. Three-dimensional rendering of stacked images was done on Velocity 2.0 software (Improvision).

#### **B. Cell cultures.**

Primary cell cultures of human bronchial epithelial cells (HBEC) were purchased commercially (BioWhittaker) and grown in BEGM medium (BioWhittaker) according to

specifications. D51 cell line was derived from human adenocarcinoma of the lung (a kind gift from I. Petersen, Charite, Berlin). D51 was grown in Leibovitz-15 medium/10%FBS (Gibco). The human embryonic kidney cell line 293T was grown in DMEM/10% FBS (Gibco). Whole cell protein extracts used for western blot analysis 5 were obtained by resuspending adherent cells directly in SDS sample buffer containing 100 mM DTT.

#### **C. Nocodazole treatment.**

One hour prior to cell fixation, HBEC grown on coverglass were washed twice in PBS 10 and resuspended in BEGM containing 20 uM (5 ug/ml) nocodazole for 25 minutes at 37C. Cells were quickly washed twice in PBS, resuspended in fresh BEGM without the drug for additional 25 minutes at 37C, and immediately fixed as described above.

#### **D. Antisera.**

15 Polyclonal antisera were generated against select peptides from TRIM29 (PEPTIDES), Hs.135056 (PEPTIDES), LTB4DH (PEPTIDES), OKL38 (PEPTIDES) (all Applied Genomics Inc., Huntsville, AL), and NTRK2/TrkB (sc-12, Santa Cruz Biotech). All 5 polyclonal antisera were peptide affinity-purified. Monoclonal antiserum against centrin (Z0H5) was a gift from J. Salisbury, Mayo Clinic). Secondary antibodies were obtained 20 from Jackson ImmunoResearch. Monoclonal antiserum to alpha-tubulin (sc-5286) was purchased from Santa Cruz Biotech.

#### **E. Retroviral vectors and infection.**

PCR was used to construct a flexible linker (amino acids GGGGSGGGGS) attached to 25 the carboxyl-terminal end of full-length TRIM29 cDNA (BC017352, nucleotides 64-1830) using the following primers: 5'-ggatcc-ATGGAAGCTGCAGATGCCTCCAGG-3' and 5'-accggtgtgcattcctccgcccgcattcctccgcgcggcggcTGGGGCTTCGTTGGACCCAATCCC GTT-3'. PCR product was inserted into pEGFP-N1 (Promega) using the BamH1/Age1 restriction sites. The TRIM29-linker-EGFP DNA insert was cut out with BamHI/Not1 30 and inserted into the BamHI/Not1 sites within the retroviral vector pIB2 (a gift from M. Davis, Stanford). PIB2 is based on the pBMN retroviral vectors (G. Nolan, Stanford)

The Phoenix amphotropic retroviral packaging system (a gift from G. Nolan, Stanford) is a 293T cell line that constitutively expresses gag-pol. Phoenix cells were transfected with lipofectamine 2000 (Invitrogen) according to manufacturer specifications. Co-  
5 transfection with pIB2, which contains the TRIM29-GFP fusion, and pCI-VSVg results in the production of amphotrophic retrovirus with a VSV-g pseudotype. OPTI-MEM transfection medium (Invitrogen) was changed 6 hours post-transfection and the cells were resuspended in DMEM/10% FCS. Retroviral supernatant was harvested 36 hours post-transfection. Virus-producing cells were grown at 32 °C in DMEM/10% FBS.

10

HBEC or 293T used for infection were grown to 70% confluence on 1-well coverglass. Cells were resuspended in 1.5 ml undiluted viral supernatant containing 15 ug/ml polybrene (Sigma/Aldrich). EGTA (2 mM final concentration) was added to HBEC cultures to inhibit intercellular adhesion. Following a 6 hour infection, cells were  
15 resuspended in BEGM and grown for an additional 2-3 days prior to fluorescence microscopy.

#### **F. Live cell imaging.**

A Zeiss LSM 510 confocal microscope, equipped with 63X oil Apochromat  
20 objective, was used for all live cell imaging. Argon mercury laser was set at 25% power and 0.5% transmission. Time series images were stacked and 3D rendered using Velocity 2.0 software.

## **II. RESULTS**

25

Genes whose products are evaluated below were selected based primarily, but not exclusively, on three criteria. First, genes were identified previously as markers for poor prognosis lung adeno. Second, the genes were expressed at significantly higher levels in both lung adeno with poor prognosis and in squamous cell carcinoma of the  
30 lung. LTB4DH and TRIM29 satisfied the first two criteria. Third, OKL38, Hs.135056, and NTRK2/TrkB were selected based on a pattern of expression similar to that of

LTB4DH and TRIM29. The names and predicted domains of these five genes are listed in Table 1. The expression patterns of these five genes across a set of 35 adenos of the lung and 67 squamous cell carcinomas of the lung and head/neck are shown graphically (Figure 1). As can be seen from Figure 1, Hs.135056, OKL38, and 5 NTRK2/TrkB mRNA expression correlated strongly with expression of LTB4DH mRNA in a subset of squamous cell carcinomas (Table 2, see also Figure 1 and Table 3 below). Hs.135056 mRNA expression also correlated strongly with LTB4DH mRNA in poor prognosis adeno of the lung (Table 2). TRIM29 mRNA was characteristically expressed in poor prognosis adeno and nearly all squamous cell tumors (Figure 1). 10 TRIM29 expression correlated strongly with expression of LTB4DH in the adeno dataset (Table 2). However, TRIM29 mRNA showed a very different gene expression pattern from LTB4DH mRNA in the squamous cell carcinoma dataset (data not shown). NTRK2/TrkB expression in adeno was relatively weak at the RNA level (Figure 1, *compare strong expression of NTRK2/TrkB in normal lung with relatively weak expression of NTRK2/TrkB in adeno group 3*). OKL38 expression in lung adeno was 15 inconclusive. We set out to describe the complex, functional intersection of five genes with similar by not identical gene expression patterns.

20 TABLE 1. Gene name, gene symbol, and predicted functional domains for five proteins.

<u>GENE NAME (SYMBOL)</u>	<u>PREDICTED DOMAIN</u>
Leukotriene B4 12-hydroxydehydrogenase (LTB4DH)	NADPH-dependent oxidoreductase
Pregnancy-induced growth inhibitor (OKL38)	NADPH-dependent oxidoreductase
Hs.135056 (C20orf139)	ParB-like nuclease domain
Tripartite-containing motif 29 (TRIM29)	B-Box-type zinc finger
Neurotrophic tyrosine kinase receptor type 2 (NTRK2/TrkB)	Tyrosine kinase, catalytic

25 TABLE 2. Using pearson correlation, LTB4DH (clone W72246) showed a similar gene expression pattern to Hs.135056, OKL38, TRIM29, and NTRK2/TrkB across the adeno (*left*) and squamous (*right*) tumor datasets . Numbers in parentheses indicate the correlation to LTB4DH.

ADENO TUMORS

LTB4DH	1.00
Hs.135056	0.75
TRIM29	0.66

SQUAMOUS TUMORS

LTB4DH	1.00
Hs.135056	0.87
OKL38	0.77
NTRK2/TrkB	0.70

TABLE 3

0.96 IMAGE:14f leukotriene B4 12-hydroxydehydrogenase || Hs.114670 || AA876375  
 0.95 IMAGE:16 || || AI000804  
 0.95 IMAGE:15 || || AA913303  
 0.92 IMAGE:74f leukotriene B4 12-hydroxydehydrogenase || Hs.114670 || AA420455  
 0.9 IMAGE:20 || || AI364951  
 0.89 IMAGE:21 || || AI393075  
 0.87 IMAGE:17f chromosome 20 open reading frame 139 || Hs.135056 || H49601  
 0.87 IMAGE:18f cytochrome P450, family 4, subfamily F, polypeptide 3 || Hs.106242 || AI249090  
 0.83 IMAGE:89f phosphogluconate dehydrogenase || Hs.392837 || AA598759  
 0.82 IMAGE:16f cytochrome P450, family 4, subfamily F, polypeptide 11 || Hs.187393 || AA991369  
 0.82 IMAGE:14f epidermal growth factor receptor pathway substrate 8 || Hs.2132 || H13622  
 0.82 IMAGE:12f cytochrome P450, family 4, subfamily F, polypeptide 12 || Hs.180570 || R11209  
 0.81 IMAGE:15f Homo sapiens, clone IMAGE:5311297, mRNA || Hs.116524 || AA931721  
 0.81 IMAGE:15f ESTs || Hs.128803 || AA975760  
 0.81 IMAGE:78f thioredoxin reductase 1 || Hs.13046 || AA453335  
 0.81 IMAGE:12f cytochrome P450, family 4, subfamily F, polypeptide 12 || Hs.180570 || T98002  
 0.8 IMAGE:28f solute carrier family 7, (cationic amino acid transporter, y<sup>+</sup> system) member 11 || Hs.6682 || N59336  
 0.8 IMAGE:21f cytochrome P450, family 4, subfamily F, polypeptide 8 || Hs.268554 || AI401776  
 0.79 IMAGE:48f carboxylesterase 1 (monocyte/macrophage serine esterase 1) || Hs.76688 || AA043416  
 0.79 IMAGE:14f ESTs || Hs.128803 || AA865075  
 0.79 IMAGE:29f aldo-keto reductase family 1, member C1 (dihydrodiol dehydrogenase 1; 20-alpha (3-alpha)-hydroxysteroid dehydrogenase) || Hs.431175 || N74260  
 0.79 IMAGE:68f ESTs, Weakly similar to hypothetical protein FLJ20378 [Homo sapiens] [H.sapiens] || Hs.439145 || AA235343  
 0.79 IMAGE:30f transmembrane 4 superfamily member 2 || Hs.82749 || N93505  
 0.78 IMAGE:73f hypothetical protein BC000282 || Hs.8116 || AA416631  
 0.78 IMAGE:58f ESTs, Weakly similar to hypothetical protein FLJ20489 [Homo sapiens] [H.sapiens] || Hs.114959 || AA130861  
 0.78 IMAGE:50f microtubule-associated protein 1B || Hs.103042 || H17493  
 0.78 IMAGE:15f transaldolase 1 || Hs.77290 || AA955007  
 0.78 IMAGE:19f aldo-keto reductase family 1, member C1 (dihydrodiol dehydrogenase 1; 20-alpha (3-alpha)-hydroxysteroid dehydrogenase) || Hs.431175 || R93124  
 0.78 IMAGE:44f glutathione reductase || Hs.193974 || AA777763  
 0.78 IMAGE:48f CDK5 regulatory subunit associated protein 2 || Hs.32360 || AA046569  
 0.77 IMAGE:14f aldo-keto reductase family 1, member C3 (3-alpha hydroxysteroid dehydrogenase, type II) || Hs.78183 || AA916325  
 0.77 IMAGE:26f v-maf musculoaponeurotic fibrosarcoma oncogene homolog G (avian) || Hs.252229 || N21609  
 0.77 IMAGE:72f pregnancy-induced growth inhibitor || Hs.31773 || AA292891  
 0.77 IMAGE:21f ESTs || Hs.283884 || H72425  
 0.77 IMAGE:36f glutamate-cysteine ligase, modifier subunit || Hs.89709 || W96179  
 0.77 IMAGE:27f malic enzyme 1, NADP(+)-dependent, cytosolic || Hs.14732 || N35825  
 0.77 IMAGE:18f aldo-keto reductase family 1, member B10 (aldose reductase) || Hs.116724 || AI301329  
 0.77 IMAGE:82f carboxylesterase 1 (monocyte/macrophage serine esterase 1) || Hs.76688 || T68878  
 0.76 IMAGE:29f WD repeat endosomal protein || Hs.109778 || N64681  
 0.76 IMAGE:34f EGF-like-domain, multiple 5 || Hs.5599 || W67981  
 0.75 IMAGE:20f ESTs || Hs.295131 || R98790  
 0.75 IMAGE:24f aldo-keto reductase family 1, member C2 (dihydrodiol dehydrogenase 2; bile acid binding protein; 3-alpha hydroxysteroid dehydrogenase, type III) || Hs.201967 || AI924357  
 0.75 IMAGE:85f malic enzyme 1, NADP(+)-dependent, cytosolic || Hs.14732 || AA669689  
 0.75 IMAGE:47f a disintegrin and metalloproteinase domain 23 || Hs.7164 || H11006  
 0.74 IMAGE:78f tachykinin, precursor 1 (substance K, substance P, neuropeptide 1, neuropeptide 2, neuropeptide L, neuropeptide alpha, neuropeptide K, neuropeptide gamma) || Hs.2563 || AA446659  
 0.74 IMAGE:78f ATP-binding cassette, sub-family C (CFTR/MRP), member 3 || Hs.90786 || AA429895  
 0.74 IMAGE:19f \*\*aldo-keto reductase family 1, member C1 (dihydrodiol dehydrogenase 1; 20-alpha (3-alpha)-hydroxysteroid dehydrogenase) || Hs.431175 || R96609  
 0.74 IMAGE:30f ESTs || Hs.432317 || N90403  
 0.74 IMAGE:71f carbonyl reductase 1 || Hs.88778 || AA280846  
 0.74 IMAGE:28f glutamate-cysteine ligase, catalytic subunit || Hs.151393 || N45129  
 0.74 IMAGE:53f CD83 antigen (activated B lymphocytes, immunoglobulin superfamily) || Hs.79197 || AA083671  
 0.73 IMAGE:85f cellular repressor of E1A-stimulated genes || Hs.5710 || T71991  
 0.73 IMAGE:15f ESTs || Hs.128803 || AA977453  
 0.73 IMAGE:74f calcium-binding tyrosine-(Y)-phosphorylation regulated (fibrousheathin 2) || Hs.314452 || AA625956  
 0.73 IMAGE:50f hypothetical protein MGC13090 || Hs.333389 || AA150896  
 0.72 IMAGE:15f SAR1 protein || Hs.110796 || AA977449  
 0.72 IMAGE:19f carbonyl reductase 3 || Hs.154510 || AI352345  
 0.72 IMAGE:84f Homo sapiens, clone IMAGE:5300264, mRNA || Hs.284235 || AA486499  
 0.72 IMAGE:25f peroxiredoxin 1 || Hs.180909 || AW071125  
 0.72 IMAGE:58f glutathione peroxidase 2 (gastrointestinal) || Hs.2704 || AA135152  
 0.72 IMAGE:74f glutathione reductase || Hs.193974 || AA417617  
 0.72 IMAGE:66f \*\*glutathione S-transferase M2 (muscle) || Hs.279837 || AA232327  
 0.71 IMAGE:21f Homo sapiens cDNA FLJ37920 fis, clone CTONG1000181. || Hs.213397 || H69608  
 0.71 IMAGE:33f ESTs || Hs.445074 || R18805  
 0.7 IMAGE:14f membrane-spanning 4-domains, subfamily A, member 8B || Hs.26638 || AA829284  
 0.7 IMAGE:19f prostaglandin I2 (prostacyclin) synthase || Hs.302085 || AI304790  
 0.7 IMAGE:20f \*\*ESTs, Highly similar to GSH1\_HUMAN Glutamate-cysteine ligase catalytic subunit (Gamma-glutamylcysteine synthetase) (GCS heavy chain) [H.sapiens] || Hs.41498  
 0.7 IMAGE:84f glutathione S-transferase M4 || Hs.348387 || AA486570  
 0.7 IMAGE:24f alcohol dehydrogenase 7 (class IV), mu or sigma polypeptide || Hs.389 || AI863845  
 0.7 IMAGE:71f glutathione S-transferase M1 || Hs.301961 || AA290737  
 0.7 IMAGE:20f pre-B-cell colony-enhancing factor || Hs.239138 || AI335002  
 0.7 IMAGE:28f neurotrophic tyrosine kinase, receptor, type 2 || Hs.47860 || N63949  
 0.69 IMAGE:76f aldehyde dehydrogenase 3 family, member A2 || Hs.159608 || AA418697  
 0.69 IMAGE:89f ESTs, Highly similar to slit homolog 2 (Drosophila); slit (Drosophila) homolog 2 [Homo sapiens] [H.sapiens] || Hs.432333 || AA489463  
 0.69 IMAGE:29f GLI-Kruppel family member GLI2 || Hs.111867 || AI822076

Accordingly, a functional characterization of the following five gene products was  
5 performed: LTB4DH, TRIM29, OKL38, Hs.135056, and NTRK2/TrkB.

#### **A. Antisera specific for each of five tumor markers**

Affinity-purified polyclonal antisera to select peptides were generated for four of  
10 five tumor markers, i.e., all of the markers but NTRK2/TrkB. Antiserum against  
NTRK2/TrkB protein was purchased commercially. Two cell cultures were used for the  
subcellular localization studies. Human bronchial epithelial cells (HBEC) are a primary  
cell culture obtained commercially. The gene expression profile for HBEC shares  
numerous genes indicative of squamous differentiation and clusters tightly with gene  
15 expression patterns from squamous lung tumors (data not shown). In addition, D51 is a  
cell line derived from patient 80-96 with poor prognosis lung adeno. Therefore, as a cell  
line, D51 represents the adeno tumor differentiation.

Three lines of evidence indicated that the antibodies were specific for the  
intended protein. First, western blot analysis revealed bands reacting with antisera  
20 against 4 of 5 of the given proteins, in both HBEC and adeno cell cultures. NTRK2/TrkB  
antiserum identified a protein band that migrated on SDS PAGE at 80 kD (Fig. 2, lanes  
1,2); predicted molecular weight is ~90 kD. Antibodies directed against Hs.135056  
stained two prominent bands at ~64 kD and ~54 kD (Fig. 2, lanes 3,4). The predicted  
open reading frame for Hs.135056 is only 137 amino acids. The discrepancy in  
25 molecular weight for Hs.135056 may be due to a poorly characterized open reading  
frame for this hypothetical gene. Antibodies against TRIM29 identified a single band at  
~74 kD for HBEC (Fig. 2, lanes 5,6). Expression in D51 was very weak. TRIM29  
protein has a predicted MW of 66kD. OKL38 antiserum detected two bands at ~57 kD  
and ~30 kD on SDS-PAGE (Fig. 2, lanes 7,8). OKL38 protein has a predicted MW of 53  
30 kD protein. The LTB4DH polyclonal antibody gave poor results on both Western (Fig. 2,  
lanes 9,10) and paraffin-embedded tissue (data not shown).

The second line of evidence was obtained from the specificity of the antibodies in paraffin-embedded lung tumors. Immunohistochemical staining for TRIM29 and Hs.135056 proteins was observed preferentially in tumor cells for both lung adenocarcinoma and squamous cell carcinoma. The NTRK2/TrkB antibody specifically 5 recognized the protein in squamous cell carcinoma of the lung by immunohistochemistry. Expression for these three proteins by immunohistochemistry followed closely the tissue distribution observed at the RNA level by DNA microarray analysis.

The third line of evidence for antigenic specificity was the ability of TRIM29 and 10 Hs.135056 antisera to predict poor prognosis adeno of the lung. Immunohistochemical staining of a series of lung adenocarcinomas, using the antisera from this study, revealed that the expression of TRIM29, Hs.135056, and SLC7A5, in combination, was highly predictive of poor prognosis. These results at the protein level are in agreement 15 with previous findings by DNA microarray that TRIM29 correlated strongly with poor prognosis adeno of the lung at the RNA level (Garber et al., Proc. Nat'l Acad. Sci. USA (2001) 98: 13784-13789).

**B. Three of the five proteins localized to structures consistent with the centriole**

20 To investigate mechanistically a possible role for the proteins in lung cancer, we initiated the characterization of all 5 proteins by using immunofluorescence microscopy in order to define their subcellular localization in cultured HBEC and D51 cells. Three of the five proteins, which included LTB4DH, Hs.135056, and TRIM29, localized to 25 discrete structures during cell division.

1. LTB4DH

LTB4DH is an NADPH-dependent oxidoreductase with a zinc-containing 30 aldehyde dehydrogenase domain. The enzyme is capable of metabolizing the lipid LTB4.

The localization of LTB4DH in adeno D51 cells was most striking during mitosis where the marker localized to a structure consistent in size, shape, number, and localization with the centriole. The centriole is contained within the centrosome and exists at the two poles of the mitotic spindle during mitosis. In a prophase cell, LTB4DH 5 localized to two foci on both sides of the midpoint (Fig. 3A, green). Double-labeling experiments using antisera to both LTB4DH and centrin showed that, in D51 cells, centrin encircled the foci containing LTB4DH (Fig. 3A, red). This result is consistent with previous studies that showed localization of centrin to structures within and around the centriole. LTB4DH appeared to localize to structures resembling duplicated 10 centrioles during interphase (Fig. 3B, green). In this case, centrin did not localize at all, and therefore it was difficult to confirm the identity of the centriole during interphase. Taken together, the data are consistent with the localization of LTB4DH in or very close 15 to the centriole in D51 lung adeno tumor cells.

15 2. Hs.135056

Hs.135056 is a poorly characterized gene whose predicted product contains a ParB-like nuclease domain. In prokaryotes, the ParB domain is involved in segregating 20 bacterial genomes. The localization of Hs.135056 in D51 cells was nearly identical to that of LTB4DH. During mitosis, the protein was localized by immunofluorescence microscopy to a structure consistent with the size and shape of a centriole (Fig. 3B, green). In double-labeling experiments, centrin defined the outer boundary of the centrioles (Fig. 3C, red).

25 3. TRIM29

TRIM29 contains zinc finger and leucine zipper motifs that in theory function in nucleic acid binding. Localization of TRIM29 in adeno lung tumors by immunohistochemistry, however, showed mainly diffuse cytoplasmic and occasional 30 plasma membrane staining. The localization of TRIM29 in primary HBEC was very similar to LTB4DH and Hs.135056. Once again, the localization was most striking

5 during mitosis. Double label experiments showed that centrin (red) encircled rather than co-localized with the TRIM29 positive structures (green) during metaphase (Fig. 3E). The size, shape, number, and localization of the structures identified by TRIM29 antiserum were therefore consistent with the localization to centrioles in mitotic HBEC.

5 TRIM29 was poorly expressed in the adeno D51 cell line.

10 The localization of Hs.135056 and TRIM29 was analyzed by immunohistochemistry in paraffin-embedded normal lung tissue. Hs.135056 stained normal ciliated bronchial epithelial cells. The stain was restricted to the apical surface of the epithelial cell near the base of the cilia (Fig. 3E, top). We did not observe staining in any other cell type in lung epithelium (data not shown). TRIM29 localized to small, punctate structures at the base and tip of the cilia (Fig.3E, bottom). The centriole/basal body localizes to the apical surface of a ciliated epithelial cell, where it functions as a template in the formation of a single cilium. Localization of Hs.135056 and TRIM29 to 15 the apical surface of a ciliated respiratory epithelial cell provides indirect evidence *in vivo* showing that the two proteins localized in the vicinity of the centriole/basal body. These *in vivo* results are in agreement with the localization of Hs.135056 and TRIM29 to the centriole/basal body in cell cultures.

20 C. Four of the five proteins localized to a novel ribbon-like structure

25 In addition to the centriole, LTB4DH, Hs.135056, and TRIM29 localized to a ribbon-like structure in primary HBEC using immunofluorescence microscopy. LTB4DH antiserum identified a ribbon-like structure very close to the nucleus (Fig. 4A, green). Using an antibody to alpha-tubulin, microtubules did not co-localize with LTB4DH to the ribbon-like structure (Fig. 4A, red), despite the fact that we tried three different monoclonal antibodies to alpha-tubulin, including acetylated alpha-tubulin (data not shown). The ribbon-like structure containing LTB4DH spanned the length of the nucleus and ended very close to the nuclear periphery (Fig. 4B, green). In addition, the 30 nucleus contained a groove or channel, suggesting the possibility that the ribbon-like

structure pushed into the nucleus (Fig. 4C, green). Localization was confined to a minority of cells on the periphery of the culture.

Like LTB4DH, Hs.135056 localized in primary HBEC cultures to a ribbon-like structure that originated in the vicinity of the nucleus. Like LTB4DH, localization of Hs.135056 to the ribbon-like structure was confined to a minority of cells on the periphery of the culture. Localization was observed across four stacked images (0.8 microns) within the upper part of the cell (Fig. 5A, left). Four stacked images (0.8 microns) across the lower part of the cell showed no evidence of the ribbon-like structure (Fig. 5A, right). There was also no evidence for co-localization of microtubules within the ribbon-like structure using double-labeling experiments (Fig. 5A, red). Unlike LTB4DH localization, Hs.135056 extended beyond the periphery of the nucleus. Viewed from the top, the nucleus (blue) contained a channel that ran parallel with the long axis of the cell (Fig. 5A, left). The data are consistent with the localization of Hs.135056, like LTB4DH, to a ribbon-like structure. Interestingly, two dots that stained intensely with Hs.135056 antiserum were observed, both less than 1 micron in diameter and both coincident with the ribbon-like structure. Given that Hs.135056 localized to a structure consistent with a centriole, it was possible that the two dots were centrioles. Co-localization experiments were done using the anti-centrin monoclonal in order to test this possibility. Hs.135056 localized to two very thin fibers in HBEC (Fig. 5B, green). The cell was binucleate, a common occurrence in primary HBEC cultures. Centrin stained a 1-micron structure coincident with the thin fiber, suggesting that the fiber and the centriole shared a common space (Fig. 5B, red).

Using immunofluorescence microscopy, OKL38 localized most notably to microtubules in HBEC. OKL38 (green) co-localized with microtubules (red) to the mitotic spindle in HBEC (Fig. 6A). OKL38 expression was identical to microtubule fibers throughout the cell with one notable exception. In a small percentage of cells on the periphery of the culture, OKL38 localized in primary HBEC cultures to a ribbon-like structure (Fig. 6B, green) where microtubules were not observed (*compare MT [red] with OKL38 [green]*). The ribbon-like structure spanned well beyond the nuclear boundaries and intersected with microtubules close to the cell surface. Deconvolved images from the top to the bottom of the nucleus were stacked, 3D rendered, and

displayed in movie format. The rendered three-dimensional object showed a ribbon-like structure on the top and bottom of the nucleus. A channel was clearly visible in the nucleus that corresponded to the placement of the ribbon structure. The virtual image was sliced perpendicular to the structure and the optical slice was rotated toward the viewer. OKL38 localized to a ribbon (green) that ran through the middle of the nucleus (blue) (Fig. 6C). Elimination of the green wavelength, representing the ribbon-like structure, revealed a channel within the nuclear compartment. Like LTB4DH and Hs.135056, localization of OKL38 to the ribbon-like structure was confined to a minority of cells on the periphery of the culture. Unlike LTB4DH, Hs.135056, and TRIM29, no evidence was found to suggest that OKL38 localized to the centriole.

The ribbon-like structures were mostly linear and parallel to the long axis of the cell. Surprisingly, we observed OKL38 localization to a ribbon-like structure that bent (Fig. 6E, green). Double-labeling experiments showed that OKL38 (green) co-localized with microtubules (red) throughout the cell except within a tripod structure in the center of the cell that intersected the nucleus (Fig. 6D). Deconvolved images from the top to the bottom of the cell were 3D rendered and displayed in movie format. The virtual image showed that OKL38 localized to a structure that nearly spanned from the top to the bottom of the nucleus (Fig. 6E). This novel structure is therefore more consistent with a ribbon than a string. These data indicated that OKL38, like LTB4DH and Hs.135056, localized to a ribbon-like structure and that the structure, unlike microtubules, actually pushed into the nucleus. We envision at least two possible mechanisms of entry into the nucleus. First, the novel structure impales the nuclear membrane and extends the length of the nucleus. Second, the structure extends the length of the nucleus and subsequently invaginates into the nuclear membrane.

25

#### **D. Ribbon-like structure is observed in cells differentially sensitive to nocodazole**

The MT destabilizing drug nocodazole was used to test whether microtubules were necessary for the structural integrity of the ribbon-like structure. HBEC were exposed to nocodazole for 20 minutes. Immunofluorescence microscopy using antisera

to alpha tubulin showed that a 20 minute exposure to the drug was sufficient to eliminate cytoplasmic MT (data not shown). In addition, the ribbon-like structure was uncharacteristically absent, suggesting that the structure requires MT for stability. Cells were allowed to recover in fresh medium without nocodazole for another 20 minutes.

5 We observed that the vast majority of cells reassembled microtubules during this recovery period. In several but not all cells on the periphery of the culture microtubules had not reassembled after 20 minutes recovery from nocodazole treatment (*Fig. 7, left; observe nucleus without peripheral red MT fibers*). Although we cannot exclude the possibility that these cells were undergoing apoptosis, the lack of chromatin fragmentation suggested that they were not. The cells that failed to re-assemble microtubules following nocodazole treatment preferentially expressed the ribbon-like structure, as indicated by localization with Hs.135056 antiserum (*Fig. 7, right; observe cell on top*). During the 20 minute recovery from the drug, the nascent ribbon-like structures were rather unrefined and sporadic. None of the cells in which MT had

10 reassembled showed detectable Hs.135056 immunofluorescence that emanated from a ribbon-like structure (*Fig. 7, center*). Conditions that favor the structure and inhibit MT polymerization remain to be defined. These data indicated that the ribbon-like structure polymerized with kinetics similar to MT. In addition, select cells on the periphery of the cell culture, where we observed the structure, were different at least with respect to

15 nocodazole sensitivity.

20

Using immunofluorescence microscopy and TRIM29 antiserum, we were unable to show localization for TRIM29 to any obvious steady-state structure in primary HBEC other than to the centriole. In particular, there was an absence of any ribbon-like structure that formed in cells on the periphery of the culture. Rather, we asked whether

25 TRIM29 might be involved in the early stages of forming the ribbon-like structure. Nocodazole provided an opportunity to shrink and re-grow the ribbon-like structure in primary HBEC. Under these conditions, the role of TRIM29, if any, in the growth of the nascent structure could be investigated. Very similar to Hs.135056, there were several cells on the periphery of the culture that failed to re-grow microtubules (red) following

30 nocodazole treatment (*Fig. 8A, observe cell in center without peripheral red MT fibers*). Actin fibers, on the other hand, were unaffected by nocodazole and present in all cells,

irrespective of their position in the culture (Fig. 8A, compare MT [red] with actin [green]). Triple-labeling experiments localized simultaneously three proteins, actin (rhodamine), MT (FITC), TRIM29 (Cy5), and DNA (DAPI) within the same cell. Given RGB constraints, green and red colors were re-assigned in Fig. 8A and Fig. 8B. Results 5 showed that the cell that failed to re-grow MTs (red) expressed TRIM29 (green) following nocodazole treatment (Fig. 8B). TRIM29 localized strongly to a cylindrical structure approximately 1.5 microns in length immediately outside the nucleus (Fig. 8B, green). The size and position of the cylindrical structure was consistent with TRIM29 localization to the centriole, although no co-localization studies were done to confirm 10 this assertion. In addition, TRIM29 also localized to a ribbon-like structure that emanated from the cylinder and reached toward the nucleus. In this cell, the actin cytoskeleton showed a lamellipodial protrusion, implying that the cell was possibly migrating/invasive (Fig. 8B, red). The ribbon-like structure pointed in the direction of the leading edge extension. Three observations are consistent with these data. First, 15 TRIM29, like LTB4DH, OKL38, and Hs.135056, localized to a ribbon-like structure. Second, TRIM29, like LTB4DH and Hs.135056, localized to small structures consistent with their identification as a centriole. Third, cells on the periphery of the culture expressing the ribbon-like structure were differentially sensitive to nocodazole.

Triple-labeling experiments localized simultaneously three proteins, actin 20 (rhodamine), MT (FITC), NTRK2/TrkB (Cy5), and DNA (DAPI) within the same cell. Given RGB constraints, green and red colors were re-assigned in Fig. 8A and 8B. Similar to TRIM29, NTRK2/TrkB localization in HBEC was most easily observed during short-term recovery from nocodazole. We found that select cells on the periphery of the culture failed to re-grow microtubules (red) following nocodazole treatment (Fig. 8C, 25 *observe cell in center without red fibers*). Actin fibers, on the other hand, were unaffected by nocodazole and present in all cells (compare MT [red] with actin [green]). NTRK2/TrkB localization was observed across seven stacked images (1.4 microns) within the upper part of the cell (Fig. 8D, green, *center cell with no MT*). Actin filaments stained strongly on one side of the cell (red), consistent with actin protrusion of the cell 30 edge. NTRK2/TrkB stained vesicular structures, consistent with localization of a membrane-bound tyrosine kinase, and concentrated in and around the actin protrusion.

These data show that a fraction of cells that failed to re-grow microtubules following short-term exposure to nocodazole localized NTRK2/TrkB protein to vesicles at or near an actin protrusion of the cell edge.

5 In summary, all 5 proteins, including 4 that associated with the ribbon-like structure, localized to cells differentially sensitive to nocodazole (TRIM29, Hs.135056, and NTRK2/TrkB in this study; LTB4DH and OKL38 are data not shown).

10 **E. TRIM-GFP fusion confirmed subcellular localization to the centriole and novel ribbon-like structure**

15 In an effort to confirm the subcellular localization obtained by immunofluorescence microscopy, HBEC primary cultures were infected with a retroviral construct expressing TRIM29 fused to GFP. Strong or moderate expression of GFP was observed in approximately 5% of the primary cells.

We observed by coincidence a very strong, highly reproducible expression of TRIM29-GFP in the retroviral packaging cell line 293T. TRIM29-GFP localized almost exclusively to small foci, consistent with a centriole/centrosome (Fig.9A).

20 In a rare case, TRIM29-GFP localized to hundreds of tiny cylindrical structures in a single primary human bronchial epithelial cell (Fig.9B). The size and shape of the structures resembled centrioles. Preliminary evidence using live cell imaging suggested that the tiny cylindrical structures condense into a fibrous network. TRIM29-GFP 25 localized to a fibrous network in the majority of HBEC that expressed the fusion protein (data not shown).

HBEC primary cultures also localized the TRIM29-GFP to a ribbon-like structure. The structure (green) was observed preferentially on the top of the cell (Fig.9C) and 30 pushed into a channel within the nucleus (blue). TRIM29-GFP did not localize significantly on the bottom of the cell and did not resemble closely the localization of

actin filaments on the top of the cell (data not shown). The ribbon-like structure was also observed in a bi-nucleated cell. From the appearance of the actin cytoskeleton (red), there were possibly two cells in the process of fusion. The ribbon-like structure was observed preferentially on the top of the cell (Fig.9 D-F, compare stacked images 5 from bottom and top of cell) and pushed into a channel in only one of two nuclei.

In addition to primary cell cultures, we observed a ribbon-like structure in the cell line T. TRIM29-GFP localized to at least two linear structures (green) very near a small parcel of DNA (blue) (Figure 9G). The actin cytoskeleton (red) implied that the parcel of 10 DNA localized to an extension of the cell (observe cell in upper right corner) and that this parcel of DNA was well separated from the cell body and the remainder of the nucleus. This same cell also localized TRIM29 to ribbon-like structures in the vicinity of the nucleus. These data indicate that cell lines, in addition to primary cell cultures, localized TRIM29 to ribbon-like structures that are involved in the movement of 15 genomes.

The localization of TRIM29-GFP to the putative centriole and the ribbon-like structure was therefore entirely consistent with the results obtained above by 20 immunofluorescence using anti-TRIM29 antiserum. TRIM29-GFP fusion experiments also provided indirect confirmation for the localization of LTB4DH, Hs.135056, and OKL38 proteins to similar sub-cellular structures by immunofluorescence microscopy.

25 **F. Live cell imaging confirmed the presence of TRIM29-GFP in a migrating cell.**

We were able to localize TRIM29-GFP in a migrating bronchial epithelial cell in real time. Using confocal microscopy, we focused on two cells in very close proximity 30 that expressed GFP. Optical planes were stacked, and 16 time series images were inserted into movie format. Still frames that represent time points 1, 3, 5, and 7 are

shown in Fig.10A. Background GFP fluorescence was used to approximate cell borders; the lack of background fluorescence probably indicates the location of the nucleus. The morphology of the cell on the right suggests the presence of a lamellipodium and implies cell migration. We observed over the course of 1.5 hours  
5 rapid cell migration in the direction of the lamellipodium. The long axis of the cell shifted nearly 90 degrees over the time course.

TRIM29-GFP localized to two thin ribbon structures that pointed in the direction of cell migration. Optical planes for the first image in the time series were stacked, 3D  
10 rendered, and the structure was rotated in virtual space. Two different orientations are shown as a still frame in Fig.10B. Each of the two ribbon structures bent approximately 90 degrees. The direction of the bend corresponded to the direction of cell migration. We also observed that both ribbon structures ran parallel with each other and were qualitatively identical.

15

**G. Genes encoding LTB4DH, OKL38, Hs.135056, and NTRK2/TrkB proteins were expressed in squamous lung tumors.**

We analyzed by cDNA microarray analysis the global gene expression patterns  
20 from resected human tumors of squamous differentiation using 42,000 clone cDNA microarrays representing 26000 human genes. We included in the analysis 40 patients with SCC of the lung, 27 patients with SCC of the head/neck, and three normal lung tissues.

25

We focused our analysis on 2150 genes based on two criteria. First, repeated sampling for 6 lung and 5 head/neck tumors was used to select genes that were well measured. Second, the expression pattern for each gene varied widely across the 11 tumor pairs. These 2150 clones best represent the diversity of gene expression in SCC.

30

Hierarchical cluster analysis was used to sort 2150 clones and 70 tissues based on similarities in gene expression. The diverse patterns of gene expression for the two morphologically indistinguishable squamous tumors were visualized using TreeView. With a few notable exceptions, the gene expression patterns largely distinguished lung 5 (orange) and head/neck (blue) SCC, as indicated by the colored branches of the dendrogram shown in Figure 12.

The entire gene cluster containing 2150 clones and 70 tissues is shown in Figure 10 13A. We observed three dominant gene expression patterns, which were expanded on the right to include gene names. Arrows to the right of gene names indicate that the presence of the gene or gene product in tumor cells was confirmed by in situ hybridization or immunohistochemistry (see Figure 14 below).

Gene expression pattern 1 was strongly expressed in 19 of 27 head/neck tumors 15 and two lung tumors (Figure 13B). There were 8 SCC of the head/neck that expressed these genes poorly. Although the distinction is not absolute, gene expression pattern 1 largely represented head/neck SCC and was poorly expressed in lung SCC. Gene expression pattern 2 was expressed strongly in 26 out of 40 lung and 5 head/ neck 20 squamous tumors (Figure 13C). There were 14 SCC of the lung that expressed these genes poorly. Pattern 2 largely represented lung SCC and was poorly expressed in SCC of the head/neck, although, like pattern 1, the distinction was not absolute.

A third, very prominent gene expression pattern found only in lung SCC 25 contained several genes expressed normally in non-tumor lung tissue, including, among others, surfactant, napsin, and TTF1. As expected, this expression pattern was shared with that from normal lung (Figure 13D). The strong gene expression pattern containing surfactant, napsin, and TTF1 genes was most likely the result of residual normal lung and does not necessarily represent diversity of gene expression in SCC of the lung (see Figure 14 below).

30

Gene expression pattern 2, which was differentially expressed in the majority of lung SCC, contained numerous genes that encode proteins with intrinsic enzymatic activity. Several of these genes are shown in Figure 13C. A more extensive list of genes that correlated strongly with leukotriene B4 12-hydroxydehydrogenase (LTB4DH) 5 is shown in Table 3, above.

Gene expression pattern 2 was highly enriched in oxidoreductases that utilize or regenerate NADPH. Examples include NADPH-dependent LTB4DH and cytochrome P450 F3 (CYP4F3), which both metabolize the inflammatory lipid LTB4. Genes 10 involved in glutathione homeostasis included cystine/glutamate transporter (SLC7A11), glutathione reductase, and glutamate-cysteine ligase catalytic (GCLC) and modifier (GCLM) subunits. Malic enzyme 1 (ME1) and three key genes in the pentose phosphate pathway, transaldolase (TALDO1), transketolase, and phosphogluconate dehydrogenase, generate NADPH for fatty acid biosynthesis. Peroxiredoxin 1, 15 thioredoxin 1 (TXNRD1), and glutathione peroxidase 2 (GPX2) contribute to redox homeostasis.

We observed several genes with unknown function in gene expression pattern 2. These included the oxidoreductase OKL38, aldo-keto reductase (AKR), Hs.135056 20 containing a putative nuclease domain, and neurotrophic tyrosine kinase receptor type 2 (NTKR2/TrkB), whose function in non-neuronal tissues is largely unknown.

Immunohistochemistry or in situ hybridization on formalin-fixed, paraffin-embedded human tumors was used to determine whether select genes were expressed 25 in squamous tumor cells. TrkB, Hs.135056, AKR1C3, LTB4DH, and OKL38 were selected from gene expression pattern 2. CAII and the hypothetical protein MGC-14128 (accession BC007828) were taken from gene expression pattern 1.

Immunohistochemistry and affinity-purified polyclonal antisera showed that TrkB, 30 Hs.135056, and AKR1C3 proteins strongly and specifically localized to the tumor cells in SCC of the lung (Figure 14). AKR1C3 protein identified both lung and the several

head/neck tumor cells in gene expression pattern 2. Immunohistochemical stains for AKR1C3 followed closely the results obtained from gene expression data obtained by cDNA microarray (p=1E-06 using Chi-square test).

5        In situ hybridization localized LTB4DH and OKL38 mRNAs in tumor cells from SCC of the lung (Figure 14). Similarly, CAII and MGC-14128 mRNAs localized specifically to tumor cells from SCC of the head/neck (Figure 14). In situ hybridization revealed the presence of surfactant A1 (SFTPA1) message in a cell type consistent with "normal" type II pneumocytes (Figure 14). As expected, surfactant mRNA was not  
10      found in squamous tumor cells.

**H. TRIM29 protein expression in tumor tissue predicted poor prognosis for human adenocarcinoma of the lung.**

15        Our gene expression studies showed that TRIM29 and SLC7A5 mRNAs correlated with poor prognosis for patients with adenocarcinoma of the lung. Immunohistochemistry and archival, paraffin-embedded tumors were used to determine whether the gene products for TRIM29 and SLC7A5 predict patient outcomes in 39 patients with lung adenocarcinoma.

20        Polyclonal antisera to TRIM29 and SLC7A5 were peptide affinity-purified. Characterization of TRIM29 antiserum was described in Figure 2 above. Using a crude protein extract from primary human bronchial epithelial cells (HBEC) and a lung adenocarcinoma cell line (D51), we observed by western blot a prominent band  
25      migrating on SDS-PAGE with a molecular weight of ~74 kD for TRIM29 and 45 kD for SLC7A5. Our results are consistent with the predicted molecular weight for TRIM29 protein (66 kD, [NP\_036223, see [www.ncbi.nlm.nih.gov](http://www.ncbi.nlm.nih.gov), Entrez, Protein]) and the observed molecular weight for SLC7A5 protein (45 kD [Kanai, 1998 #1]).

30        Immunohistochemical staining across a panel of paraffin-embedded normal human tissues showed that expression of SLC7A5 protein was largely restricted to the

testis. A punctate, apical localization for TRIM29 protein was observed in colon adenoma (Fig. 15A) and normal appendix (Fig. 15B). Interestingly, neurons within the basal ganglia expressed TRIM29 protein (Fig. 15C). In addition, we observed TRIM29 protein expression in the thymus and prostate.

5

TRIM29 protein localized to basal epithelial cells within bronchial epithelium in a minority of cases (Fig. 15D). Unlike TRIM29, we observed no stain for SLC7A5 protein in normal bronchial epithelium. We did observe strong expression of SLC7A5 protein in lymphocytes adjacent to lung tumors in select patients. Ciliated bronchial epithelial cells 10 in normal human lung expressed TRIM29 protein within the base and tip of cilia fibers (see Figure 3E above).

Formalin-fixed, paraffin-embedded archival tumors from 39 patients with adenocarcinoma of the lung were obtained from Vancouver General Hospital. 15 Morphological diagnosis and clinical follow-up for each patient are shown in Table 4. The clinical records showed that 19 of the 39 patients died from the tumor.

Immunohistochemistry showed that TRIM29 (Fig. 16A) and SLC7A5 (Fig. 16B) proteins were expressed in the tumor cells from lung adenocarcinomas. TRIM29 20 protein was strongly expressed in SCC of the head/neck (Fig. 16C) and lung (data not shown). These results are consistent with previous gene expression studies that showed strong mRNA expression for TRIM29 in SCC of the lung and a subset of lung adenocarcinomas (Garber et al., 2001), and provide evidence to suggest that the TRIM29 antiserum was specific for the intended protein.

25

Results for the immunohistochemical stains are listed in Table 4.

30

TABLE 4

tumor ID	TRIM29	SLC7A5	Sex	age	stage UICC	last follow-up	tofudays	tofuyear	Status	morphological diagnosis
136	1	1	F	67	1	1-Jan-02	253	0.693151	1	moderately differentiated adeno
138	1	1	M	70	1	1-Jan-02	132	0.361644	1	moderately differentiated adeno
139	1	1	F	57	1	1-Jan-02	105	0.287671	1	moderately differentiated non-mucir
135	1	1	F	82	1	1-Jan-02	308	0.843836	1	moderately differentiated adeno
124	1	1	F	71	1	7-Apr-87	1086	2.975342	4	poorly differentiated adeno
117	2	2	M	66	3	2-Jul-86	646	1.769863	4	adenosquamous
118	1	1	M	56	1	15-Nov-85	248	0.679452	5	bronchoalveolar
120	3	1	M	77	4	6-Nov-86	184	0.50411	6	poorly differentiated adenosquamous
108	3	3	F	74	4	12-Oct-87	94	0.257534	4	mucinous bronchoalveolar
109	1	1	F	61	1	1-Jan-02	4900	13.42466	1	bronchoalveolar
112	1	1	M	75	unknown	24-Feb-93	1553	4.254795	4	bronchoalveolar
110	1	3+	F	71	1	3-Oct-93	1984	5.435616	6	moderately differentiated adenosquamous
111	3	1	F	38	3	11-Feb-89	236	0.646575	4	bronchoalveolar
123	1	1	M	50	1	15-Oct-92	1050	2.876712	4	poorly differentiated adeno
122	1	1	M	77	1	8-Nov-93	844	2.312329	4	moderately differentiated adeno
113	2	3	F	63	1	12-Jan-92	248	0.679452	4	mucinous adeno
90	1	1	F	74	lung met to brain	23-Nov-94	107	0.293151	4	adeno with papillary pattern
125	1	1	F	70	1	1-Jan-02	2513	6.884932	1	poorly differentiated adeno
91	3+	3	F	76	2	27-May-97	833	2.282192	4	adenosquamous
92	3	3+	M	75	1	13-May-95	74	0.20274	4	bronchioalveolar
93	1	1	M	55	1	2-Sep-95	186	0.509589	6	well to moderately differentiated adeno
94	1	1	M	66	2	13-Feb-98	956	2.619178	4	adeno
95	1	1	M	62	1	18-Apr-98	1115	3.054795	4	bronchioalveolar
96	1	1	F	74	1	1-Jan-02	2340	6.410959	1	moderately differentiated adeno
97	1	1	F	67	1	17-Nov-95	157	0.430137	4	moderately differentiated adeno
98	1	3+	M	80	3	27-Jan-98	950	2.60274	4	poorly differentiated adeno
99	1	1	F	52	4	1-Jan-02	2262	6.19726	1	well differentiated bronchioalveolar
121	1	3	M	65	1	1-Jan-02	2239	6.134247	1	well to moderately well differentiated adeno
100	1	3	F	64	1	1-Jan-02	2234	6.120548	1	bronchioalveolar
101	1	1	F	62	1	21-Jan-97	173	0.473973	4	bronchoalveolar
102	1	1	F	72	1	1-Jan-02	2045	5.60274	1	bronchioalveolar
104	1	1	M	65	1	1-Jan-02	2183	5.980822	1	bronchoalveolar
106	1	1	F	63	1	10-Sep-00	1136	3.112329	4	mucinous adeno
107	1	1	M	79	1	1-Jan-02	1704	4.668493	1	mucinous adeno
126	1	1	F	52	1	18-Apr-01	1115	3.054795	6	poorly differentiated adeno
129	1	3	F	56	2	1-Jul-99	309	0.846575	4	moderately differentiated adeno
131	1	1	M	74	1	1-Jan-02	977	2.676712	1	moderately differentiated adeno
132	1	3	F	56	3	3-Jun-01	734	2.010959	4	poorly differentiated adeno
130	1	1	M	48	3	1-Jan-02	1071	2.934247	1	acinar adeno/bronchioalveolar

IHC scores. 1: negative; 2: moderate, 3: strong

yellow bar indicates staining for all three antisera

plus sign indicates very strong expression

A strong or weak immunostain was considered positive. TRIM29 stained 7 adenocarcinomas, or 18% of the 39 adenocarcinoma lung tumors examined in this study. 6 of 7 patients that stained with TRIM29 died from the tumor. Kaplan-Meier

analysis showed that the expression of TRIM29 protein in lung adenocarcinomas correlated with poor prognosis, with a p value less than 0.00001.

SLC7A5 protein stained 11 lung adenocarcinomas, or 28% of the 39  
5 adenocarcinoma lung tumors examined in this study. 8 of 11 patients died from the tumor. On its own, SLC7A5 protein was not indicative of poor prognosis for adenocarcinoma of the lung.

TRIM29 and SLC7A5 proteins identified 5 of the 8 tumors recognized by TRIM29  
10 alone. Survival for these five patients was poor, with a p value of 0.00001 based on Kaplan-Meier analysis (Fig. 17).

### III. DISCUSSION

15

The above results demonstrate that three of the five proteins analyzed in this study, including TRIM29, Hs.135056, and LTB4DH, localized to a structure consistent with the size, shape, and localization of the centriole. In lung tissue, it was shown that Hs.135056 localized to the centriole/basal body at the base of a cilium in normal  
20 respiratory epithelial cells. The result *in vivo* confirms the centriolar localization observed in cell cultures. TRIM29, Hs.135056, LTB4DH, and OKL38 localized specifically to a novel, ribbon-like structure in a minority of cells in culture. The ribbon-like structure pushed through the nucleus, creating a nuclear channel that was parallel to the long axis of the cell. Localization of LTB4DH to the ribbon-like structure was  
25 largely confined to the nuclear boundary, whereas Hs.135056 and OKL38 showed a more extensive structure that extended beyond the nucleus. Interestingly, TRIM29, Hs.135056, OKL38, and LTB4DH localized to the ribbon-like structure in a minority of primary cells in culture that were differentially sensitive to nocodazole. Localization of TRIM29 to the centriole and the ribbon-like structure was confirmed in primary lung  
30 epithelial cells using a TRIM29-GFP fusion protein.

There are two key interpretations of the data. First, the ribbon-like structure is an extension of the centriole that grows in the direction of the nucleus. Indirect evidence for this comes from TRIM29 localization to a nascent, ribbon-like structure following a 20 minute recovery from nocodazole. TRIM29 stained intensely on one end of the 5 nascent structure. The dimensions of the stain were consistent with the size of a centriole. Second, both TRIM29 and NTRK2/TrkB proteins localized to discrete structures in cells that implied an active cell migration. In these cells, the actin cytoskeleton showed a lamellipodial-like protrusion. Based on cell culture models, lamellipodia actively participate in cell migration. NTRK2/TrkB localized to vesicles very 10 near the site of actin protrusion. Similarly, TRIM29 localized to a ribbon-like structure that extended from the putative centriole in the opposite direction to the lamellipodium. Live cell imaging confirmed the presence of TRIM29-GFP bound to a ribbon-like structure in a migrating epithelial cell.

15 These findings indicate that that NTRK2/TrkB, like TRIM29, localizes to a migrating/invasive cell, with possible implications for the poor prognosis lung tumor phenotype.

#### **A. A hypothetical model for the five proteins in tumor progression**

20 The above described subcellular localization for the five gene products evaluated in this study shows that these five gene products function as a cohesive unit. Though not wishing to be bound to any particular theory, the mechanism of how these 5 proteins in tumor progression is shown in Fig. 11. NTRK2/TrkB protein concentrates around the 25 leading edge extension, suggesting that this tyrosine kinase may have a role in the regulation of the leading edge. As plasma membranes extend and retract in a migrating cell, mechanisms must also exist to move internal organelles, such as the nucleus. Nucleokinesis refers to the process of active nuclear positioning during cell movement. We discovered four proteins that play a role in nucleokinesis. These 4 proteins localize 30 to a ribbon-like structure (green), which extends from the centriole (red dot), and grows toward the leading edge extension in the direction of cell migration. The ribbon-like

structure pushes through the nucleus (purple) and guides the nucleus down a localized track in the direction of cell movement.

We have provided functional data obtained from live cell imaging to indicate that  
5 TRIM29 fused to GFP localized to a ribbon-like structure in a migrating epithelial cell in culture.

**B. The ribbon-like structure is a highly enzymatic process**

10 Four of the five proteins characterized in this study are putative enzymes. Three enzymes localized to the ribbon-like structure, including Hs.135056, LTB4DH, and OKL38. TRIM29 was the only one of four proteins that had no known intrinsic enzymatic activity.

15 **C. Proteins associated with the ribbon-like structure were expressed in lung tumors and predicted poor prognosis for adenocarcinoma of the lung**

We have showed that TRIM29 protein was preferentially expressed in select normal human tissues and in adenocarcinoma lung tumor cells. TRIM29, alone or in  
20 combination with SLC7A5 protein, accurately identified a subset of patients with poor prognosis for adenocarcinoma of the lung.

25 TRIM29, Hs.135056, and NTRK2/TrkB proteins were strongly expressed in SCC of the lung. We have also showed that OKL38 and LTB4DH mRNAs were expressed in squamous cell carcinoma of the lung.

It is evident from the above results and discussion that the subject invention provides new methods of diagnosing and treating neoplastic disease conditions, such as lung cancer. Accordingly, the subject invention represents a significant contribution  
30 to the art.

All publications and patents cited in this specification are herein incorporated by reference as if each individual publication or patent were specifically and individually indicated to be incorporated by reference. The citation of any publication is for its disclosure prior to the filing date and should not be construed as an admission that the 5 present invention is not entitled to antedate such publication by virtue of prior invention.

Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity of understanding, it is readily apparent to those of ordinary skill in the art in light of the teachings of this invention that certain 10 changes and modifications may be made thereto without departing from the spirit or scope of the appended claims.

WHAT IS CLAIMED IS:

1. A method of evaluating a cell's metastatic propensity, said method comprising:  
assaying said cell for the presence of at least one target protein associated with  
5 cellular locomotion to obtain a result; and  
using said result to evaluate said cell's metastatic propensity.
2. The method according to Claim 1, wherein said at least one target protein is a  
nucleus-associated ribbon-like structure protein.  
10
3. The method according to Claim 1, wherein said nucleus-associated ribbon-like  
structure protein is chosen from:  
Leukotriene B4 12-hydroxydehydrogenase (LTB4DH);  
Pregnancy-induced growth inhibitor (OKL38);  
15 Hs.135056 (C20orf139);  
cyp4 proteins; and  
Tripartite-containing motif 29 (TRIM29).
4. The method according to Claim 1, wherein said at least one target protein is a  
20 leading edge cellular locomotion protein.
5. The method according to Claim 4, wherein said leading edge cellular locomotion  
protein is Neurotrophic tyrosine kinase receptor type 2 (NTRK2/TrkB).  
25
6. The method according to Claim 1, wherein said assaying comprises assaying  
said cell for the presence of at least two different target proteins in said cell.
7. The method according to Claim 1, wherein said assay comprises assaying said  
cell for the presence of a nucleus-associated ribbon-like structure.  
30

8. The method according to Claim 7, wherein said nucleus-associated ribbon-like structure comprises:

Leukotriene B4 12-hydroxydehydrogenase (LTB4DH);

Pregnancy-induced growth inhibitor (OKL38);

5 Hs.135056 (C20orf139);

cyp4 proteins; and

Tripartite-containing motif 29 (TRIM29).

9. The method according to Claim 1, wherein said cell is a neoplastic cell.

10

10. The method according to Claim 9, wherein said neoplastic cell is a tumor cell.

11. The method according to Claim 10, wherein said tumor cell is from a tumor harvested from a subject suffering from a neoplastic disease.

15

12. The method according to Claim 11, wherein said neoplastic disease is a lung cancer.

20

14. A method of making a prognosis for a subject suffering from a neoplastic disease, said method comprising:

assaying a cell obtained from said subject for the presence of at least one target protein associated with cellular locomotion to obtain a result; and

25 using said result to make a prognosis for said subject.

15. The method according to Claim 14, wherein said at least one target protein is a nucleus-associated ribbon-like structure protein.

30 16. The method according to Claim 15, wherein said nucleus-associated ribbon-like structure protein is chosen from:

Leukotriene B4 12-hydroxydehydrogenase (LTB4DH);  
Pregnancy-induced growth inhibitor (OKL38);  
Hs.135056 (C20orf139);  
cyp4 proteins; and

5       Tripartite-containing motif 29 (TRIM29).

17.   The method according to Claim 14, wherein said at least one target protein is a leading edge cellular locomotion protein.

10   18.   The method according to Claim 17, wherein said leading edge cellular locomotion protein is Neurotrophic tyrosine kinase receptor type 2 (NTRK2/TrkB).

15   19.   The method according to Claim 14, wherein said assaying comprises assaying said cell for the presence of at least two different cellular locomotion proteins in said cell.

20.   The method according to Claim 14, wherein said assaying comprises assaying said cell for the presence of a nucleus-associated ribbon-like structure.

20   21.   The method according to Claim 20, wherein said nucleus-associated ribbon-like structure comprises:

Leukotriene B4 12-hydroxydehydrogenase (LTB4DH);  
Pregnancy-induced growth inhibitor (OKL38);  
Hs.135056 (C20orf139);  
cyp4 proteins; and  
25       Tripartite-containing motif 29 (TRIM29).

22.   The method according to Claim 14, wherein said cell obtained from said subject is a neoplastic cell.

30

23.   The method according to Claim 22, wherein said neoplastic cell is a tumor cell.

24. The method according to Claim 23, wherein said tumor cell is from a tumor harvested from said subject.

5 25. The method according to Claim 14, wherein said neoplastic disease is a lung cancer.

26. The method according to Claim 25, wherein said lung cancer is adenocarcinoma.

10 27. A method of modulating movement of a neoplastic cell from a first to a second location, said method comprising:

contacting said cell with an effective amount of an agent that modulates the activity of at least target protein associated with cellular locomotion in said cell to modulate movement of said cell from a first to a second location.

15

28. The method according to Claim 27, wherein said modulating movement comprises inhibiting movement.

20 29. The method according to Claim 28, wherein said agent at least reduces the activity of at least one target protein associated with cellular locomotion .

30. The method according to Claim 29, wherein said at least one target protein is a nucleus-associated ribbon-like structure protein.

25 31. The method according to Claim 30, wherein said nucleus-associated ribbon-like structure protein is chosen from:

Leukotriene B4 12-hydroxydehydrogenase (LTB4DH);

Pregnancy-induced growth inhibitor (OKL38);

Hs.135056 (C20orf139);

30 cyp4 proteins; and

Tripartite-containing motif 29 (TRIM29).

32. The method according to Claim 31, wherein said at least one target protein is a leading edge cellular locomotion protein.

5 33. The method according to Claim 32, wherein said leading edge cellular locomotion protein is Neurotrophic tyrosine kinase receptor type 2 (NTRK2/TrkB).

34. The method according to Claim 27, wherein said agent inhibits the formation of a nucleus-associated ribbon-like structure in said cell.

10 35. The method according to Claim 34, wherein said nucleus-associated ribbon-like structure comprises:  
Leukotriene B4 12-hydroxydehydrogenase (LTB4DH);  
Pregnancy-induced growth inhibitor (OKL38);  
15 Hs.135056 (C20orf139);  
cyp4 proteins; and  
Tripartite-containing motif 29 (TRIM29).

36. The method according to Claim 27, wherein said neoplastic cell is a tumor cell.

20 37. The method according to Claim 36, wherein said tumor cell is from a tumor harvested from a subject suffering from a neoplastic disease.

25 38. The method according to Claim 37, wherein said neoplastic disease is a lung cancer.

39. The method according to Claim 38, wherein said lung cancer is adenocarcinoma.

40. A method of treating a subject suffering from a neoplastic disease condition, said 30 method comprising:

administering to said subject an effective amount of an agent that modulates the activity of at least one target protein associated with cellular locomotion to treat said subject.

5 41. The method according to Claim 40, wherein said agent at least reduces the activity of at least one target protein associated with cellular locomotion .

42. The method according to Claim 40, wherein said at least one target protein is a nucleus-associated ribbon-like structure protein.

10 43. The method according to Claim 42, wherein said nucleus-associated ribbon-like structure protein is chosen from:

Leukotriene B4 12-hydroxydehydrogenase (LTB4DH);  
Pregnancy-induced growth inhibitor (OKL38);  
15 Hs.135056 (C20orf139);  
cyp4 proteins; and  
Tripartite-containing motif 29 (TRIM29).

44. The method according to Claim 40, wherein said at least one target protein is a leading edge cellular locomotion protein.

20 45. The method according to Claim 44, wherein said leading edge cellular locomotion protein is Neurotrophic tyrosine kinase receptor type 2 (NTRK2/TrkB).

25 46. The method according to Claim 40, wherein said agent inhibits the formation of a nucleus-associated ribbon-like structure in said cell.

47. The method according to Claim 46, wherein said nucleus-associated ribbon-like structure comprises:

30 Leukotriene B4 12-hydroxydehydrogenase (LTB4DH);  
Pregnancy-induced growth inhibitor (OKL38);

Hs.135056 (C20orf139);  
cyp4 proteins; and  
Tripartite-containing motif 29 (TRIM29).

5 48. The method according to Claim 40, wherein said neoplastic disease is a lung cancer.

49. The method according to Claim 48, wherein said lung cancer is adenocarcinoma.

10 50. A kit for use in evaluating a cell's metastatic propensity, said kit comprising:  
a reagent for assaying a cell for the presence of at least one target protein associated with cellular locomotion .

15 51. The kit according to Claim 50, wherein said at least one target protein is a nucleus-associated ribbon-like structure protein.

52. The kit according to Claim 51 wherein said nucleus-associated ribbon-like structure protein is chosen from:

20 Leukotriene B4 12-hydroxydehydrogenase (LTB4DH);  
Pregnancy-induced growth inhibitor (OKL38);  
Hs.135056 (C20orf139);  
cyp4 proteins; and  
Tripartite-containing motif 29 (TRIM29).

25 53. The kit according to Claim 50, wherein said at least one target protein is a leading edge cellular locomotion protein.

54. The kit according to Claim 53 wherein said leading edge cellular locomotion protein is Neurotrophic tyrosine kinase receptor type 2 (NTRK2/TrkB).

30

55. The kit according to Claim 50, wherein said kit comprises reagents for assaying the presence and location of at least two different target proteins in a cell.

56. The kit according to Claim 55, wherein said kit comprises reagents for assaying a cell for the presence of a nucleus-associated ribbon-like structure.

57. The kit according to Claim 56, wherein said nucleus-associated ribbon-like structure comprises:

Leukotriene B4 12-hydroxydehydrogenase (LTB4DH);  
10 Pregnancy-induced growth inhibitor (OKL38);  
Hs.135056 (C20orf139);  
cyp4 proteins; and  
Tripartite-containing motif 29 (TRIM29).

15 58. A composition comprising:

an agent that modulates the activity of at least one target protein associated with cellular locomotion ; and  
a pharmaceutically acceptable delivery vehicle.

20 59. The composition according to Claim 58, wherein said at least one target protein is a nucleus-associated ribbon-like structure protein.

60. The composition according to Claim 59, wherein said nucleus-associated ribbon-like structure protein is chosen from:

25 Leukotriene B4 12-hydroxydehydrogenase (LTB4DH);  
Pregnancy-induced growth inhibitor (OKL38);  
Hs.135056 (C20orf139);  
cyp4 proteins; and  
Tripartite-containing motif 29 (TRIM29).

30

61. The composition according to Claim 58, wherein said at least one target protein is a leading edge cellular locomotion protein.

62. The composition according to Claim 61, wherein said leading edge cellular locomotion protein is Neurotrophic tyrosine kinase receptor type 2 (NTRK2/TrkB).

5

63. The composition according to Claim 58, wherein said composition comprises reagents for modulating the activity of at least two different target proteins in a cell.

10 64. The composition according to Claim 58, wherein said composition comprises at least one agent that inhibits the production of a nucleus-associated ribbon-like structure.

65. The composition according to Claim 64, wherein said nucleus-associated ribbon-like structure comprises:

15 Leukotriene B4 12-hydroxydehydrogenase (LTB4DH);  
Pregnancy-induced growth inhibitor (OKL38);  
Hs.135056 (C20orf139);  
cyp4 proteins; and  
Tripartite-containing motif 29 (TRIM29).

20

# **METHODS AND COMPOSITIONS FOR USE IN EVALUATING AND TREATING NEOPLASTIC DISEASE CONDITIONS**

## **ABSTRACT OF THE DISCLOSURE**

5

Methods and compositions for use in evaluating and treating neoplastic disease conditions are provided. In certain embodiments of the subject invention, the presence of at least one target protein associated with cellular locomotion is determined in a cell to make an evaluation regarding the cell and/or host from which the cell was obtained. In yet other embodiments, the activity of at least one target protein, is modulated, e.g., inhibited. In certain embodiments, the target protein is part of a nucleus-associated ribbon-like structure. Also provided are kits and pharmaceutical compositions that find use in various embodiments of the subject invention. The invention finds use in a variety of different applications, including both diagnostic and therapeutic applications.

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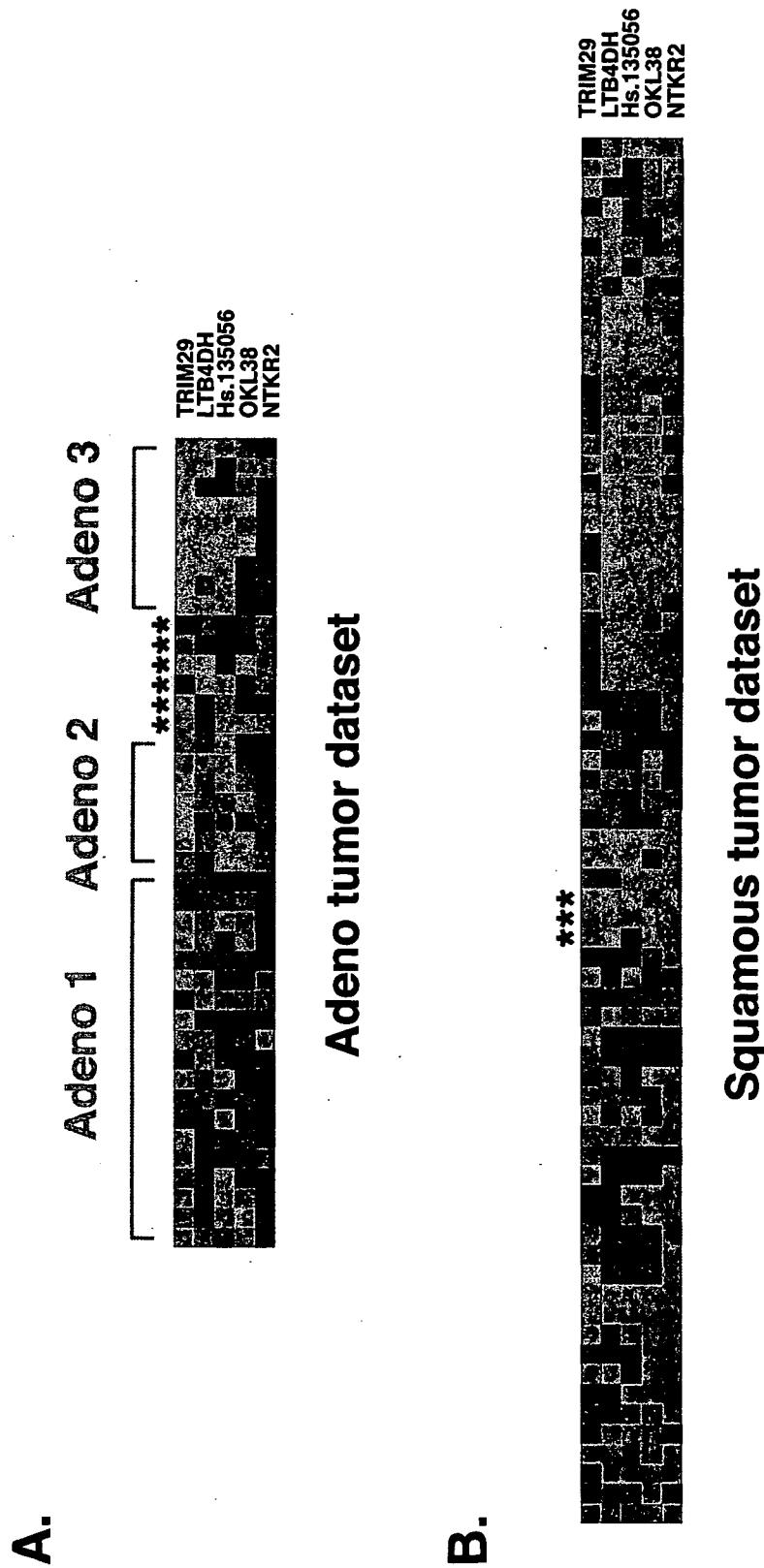
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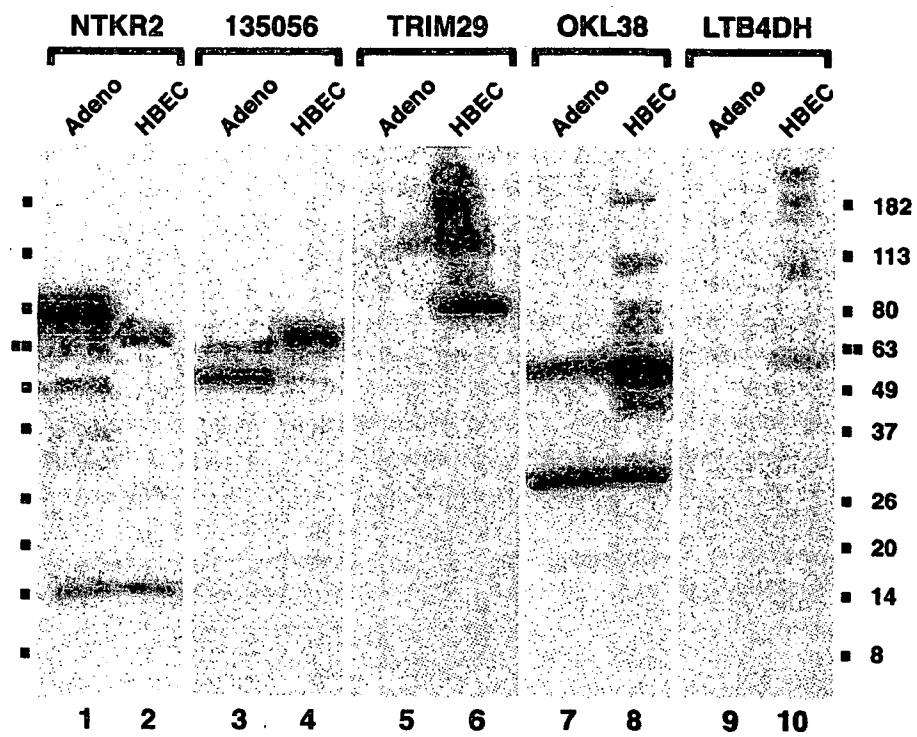
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**Figure 1**



**Figure 2**

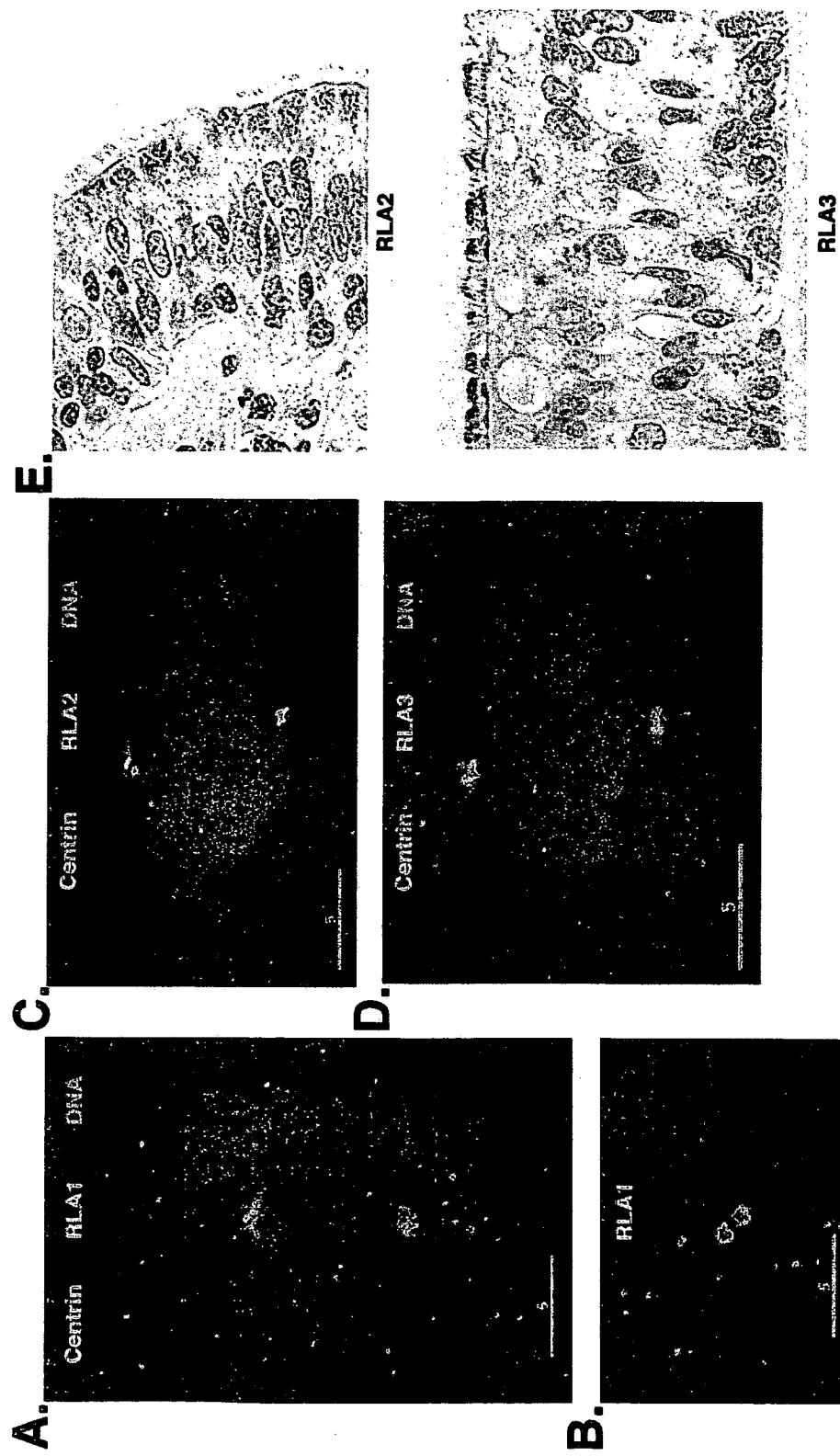
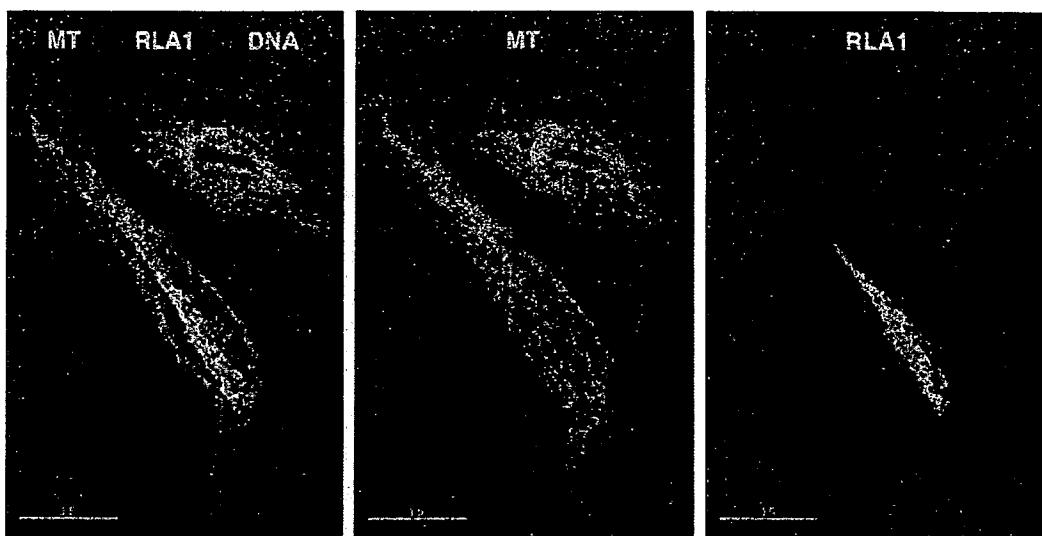
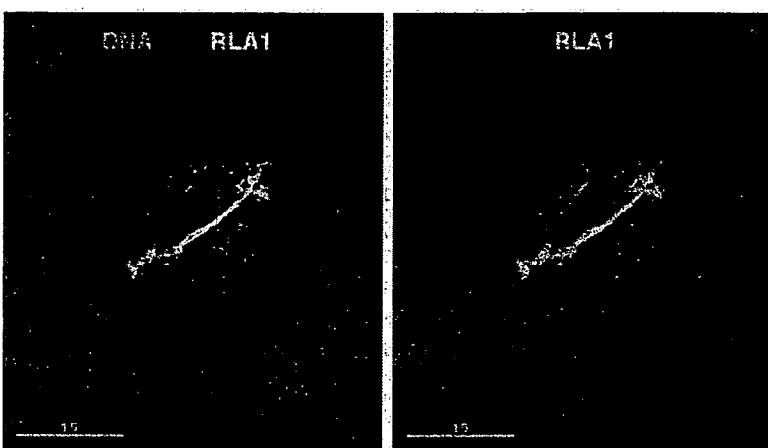
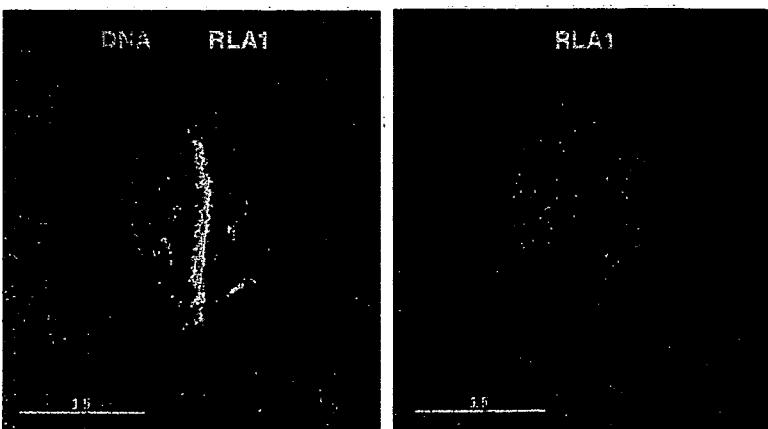
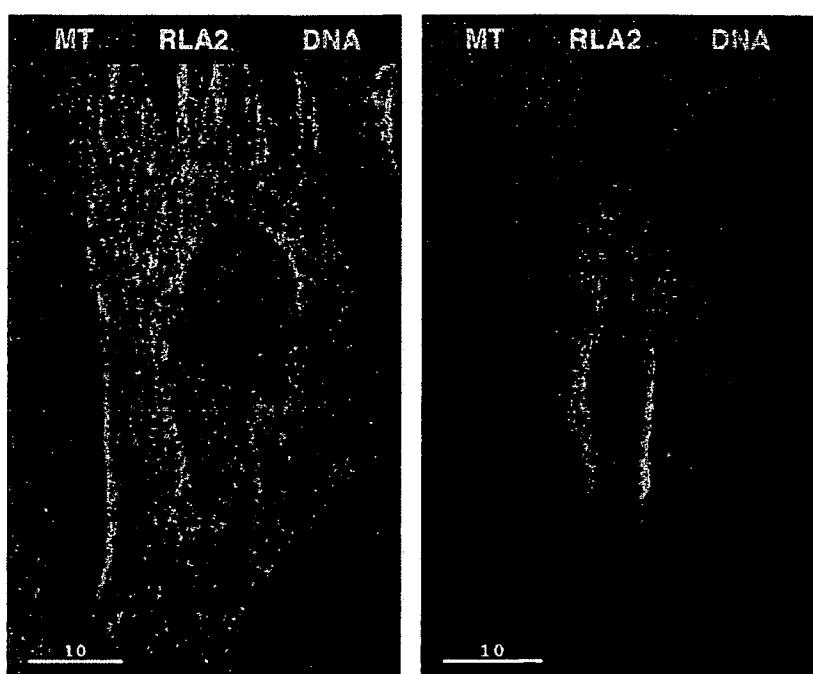


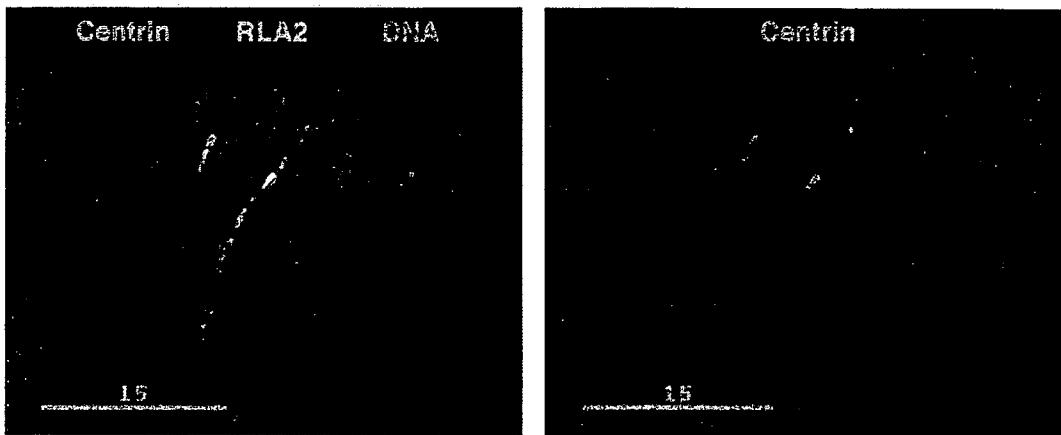
Figure 3

**Figure 4****A.****B.****C.**

**A.**



**B.**



**Figure 5**



**Figure 6**

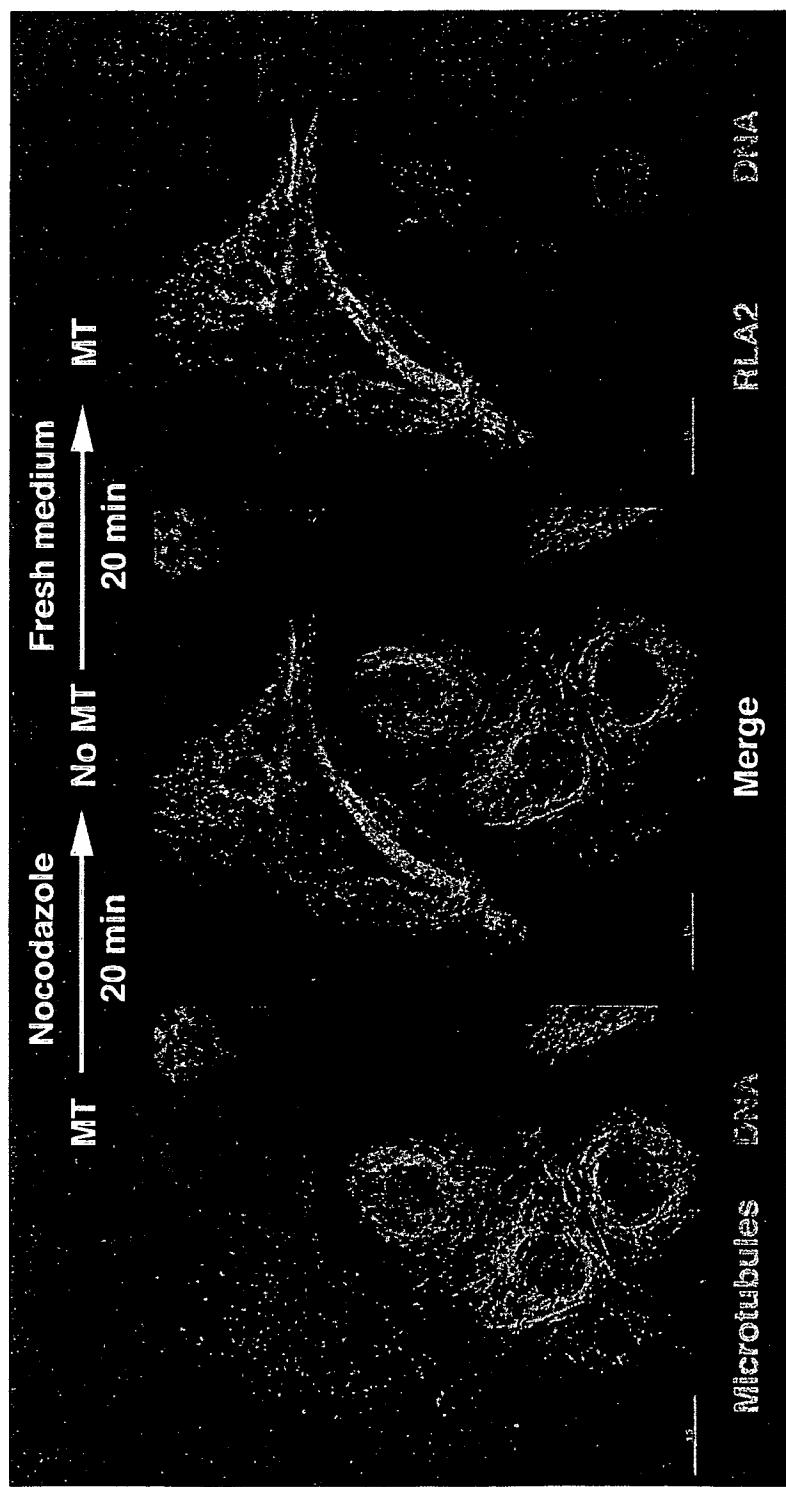
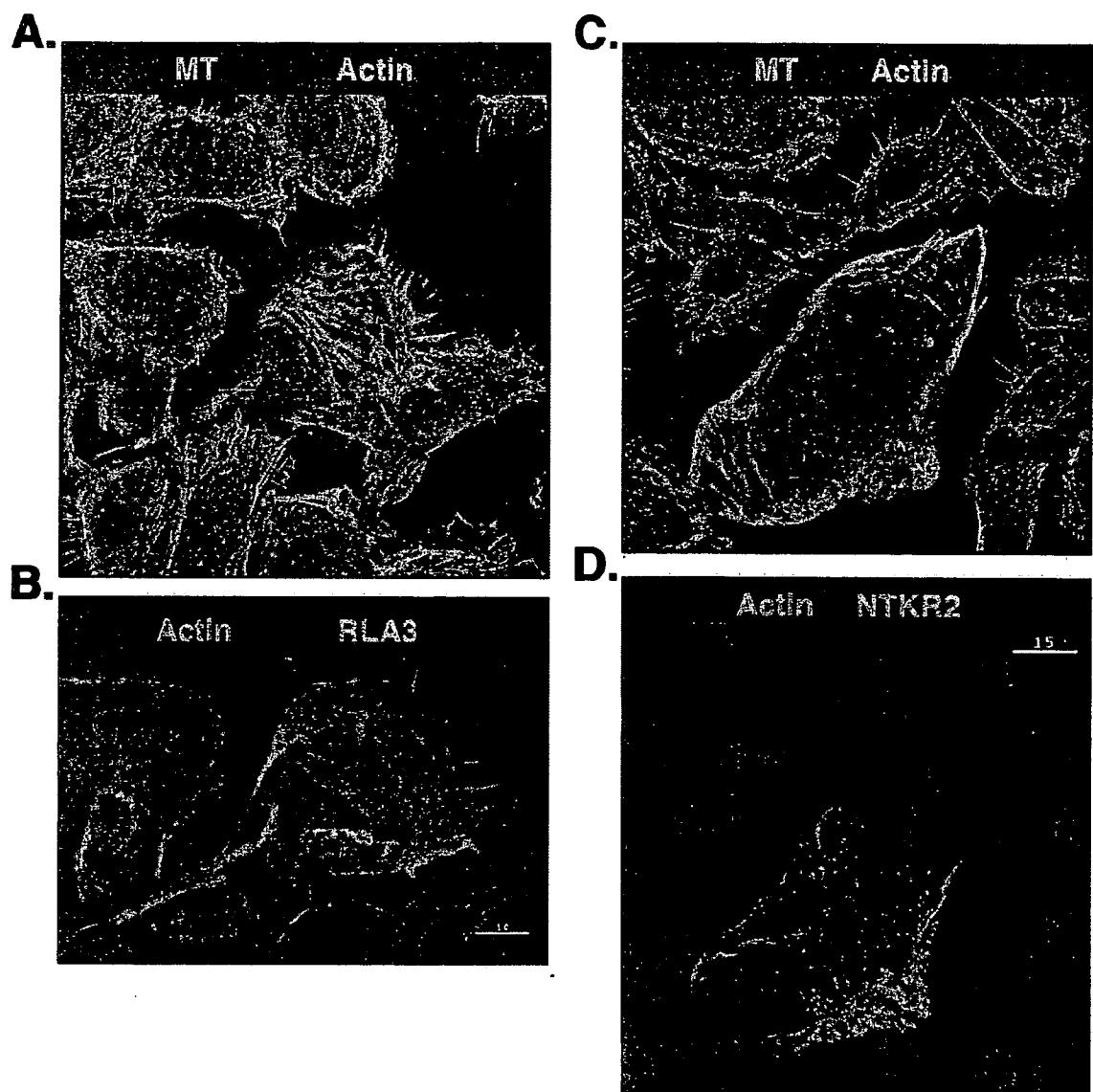
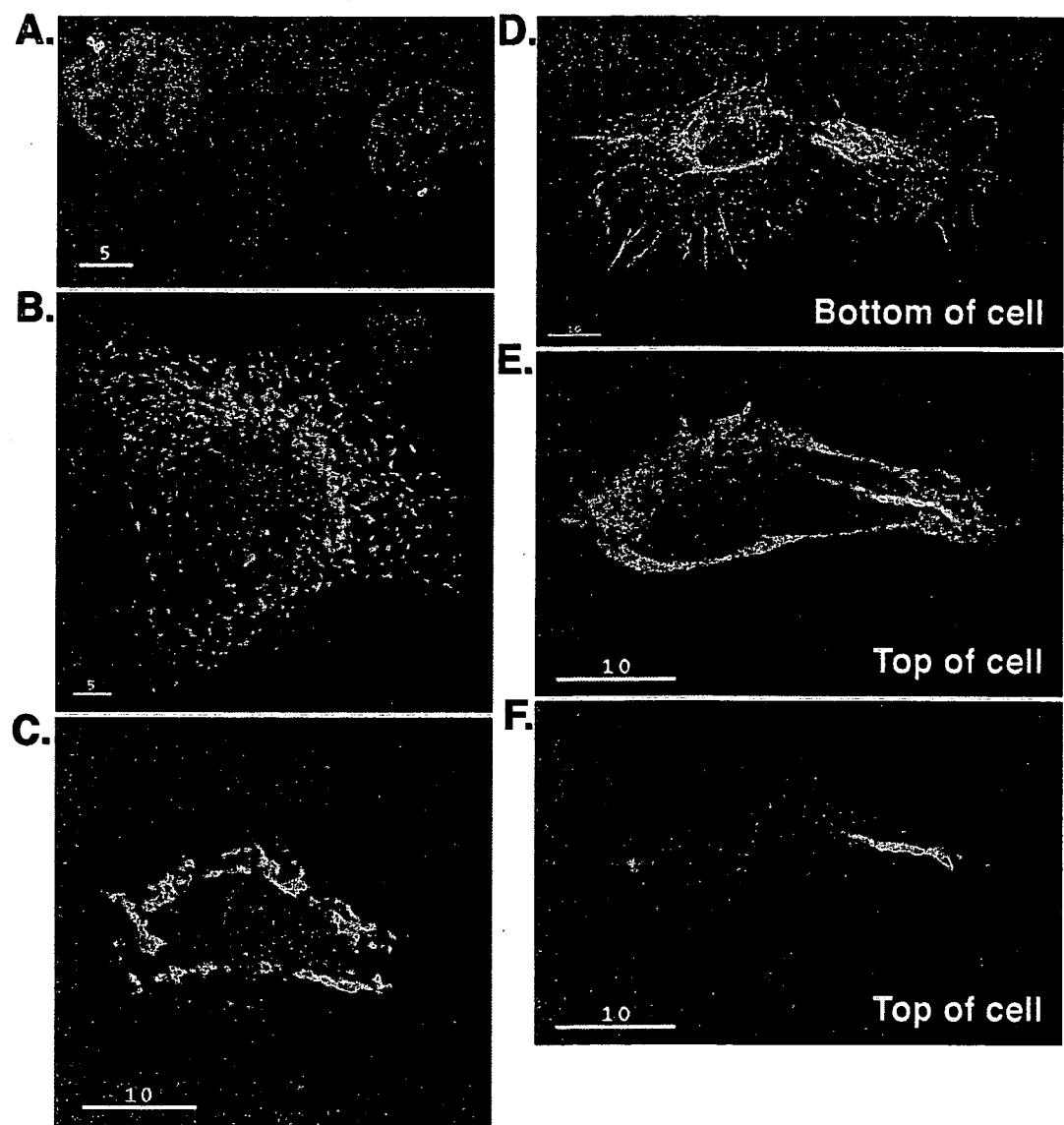


Figure 7

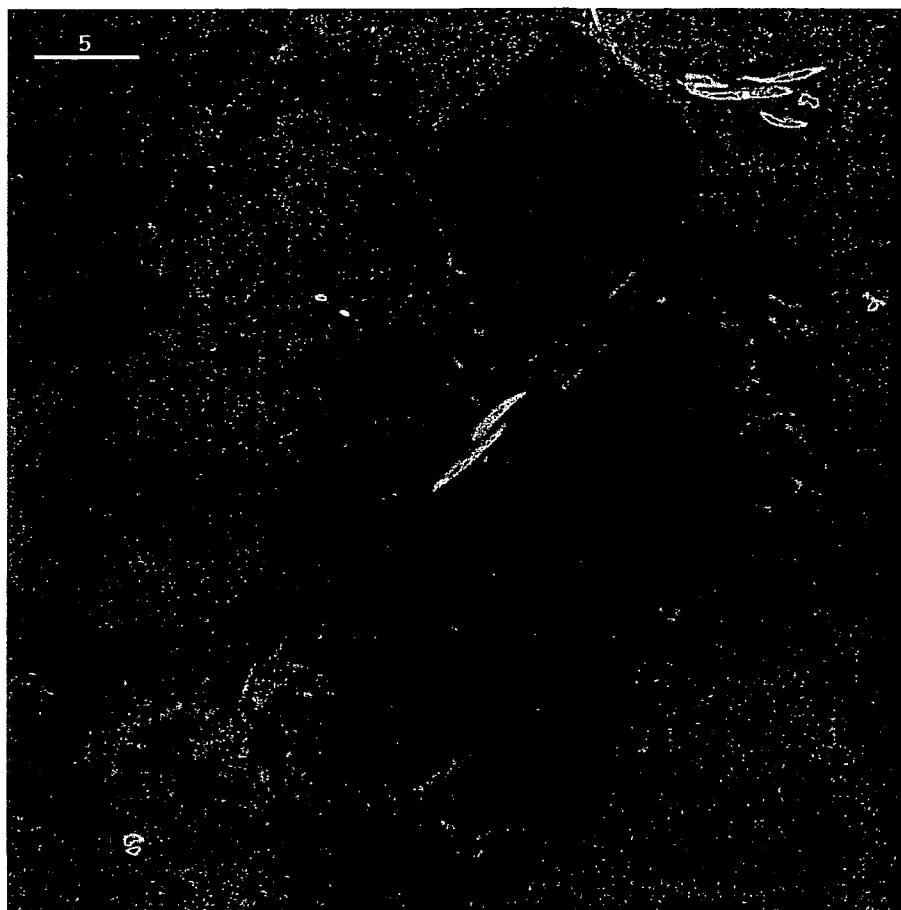


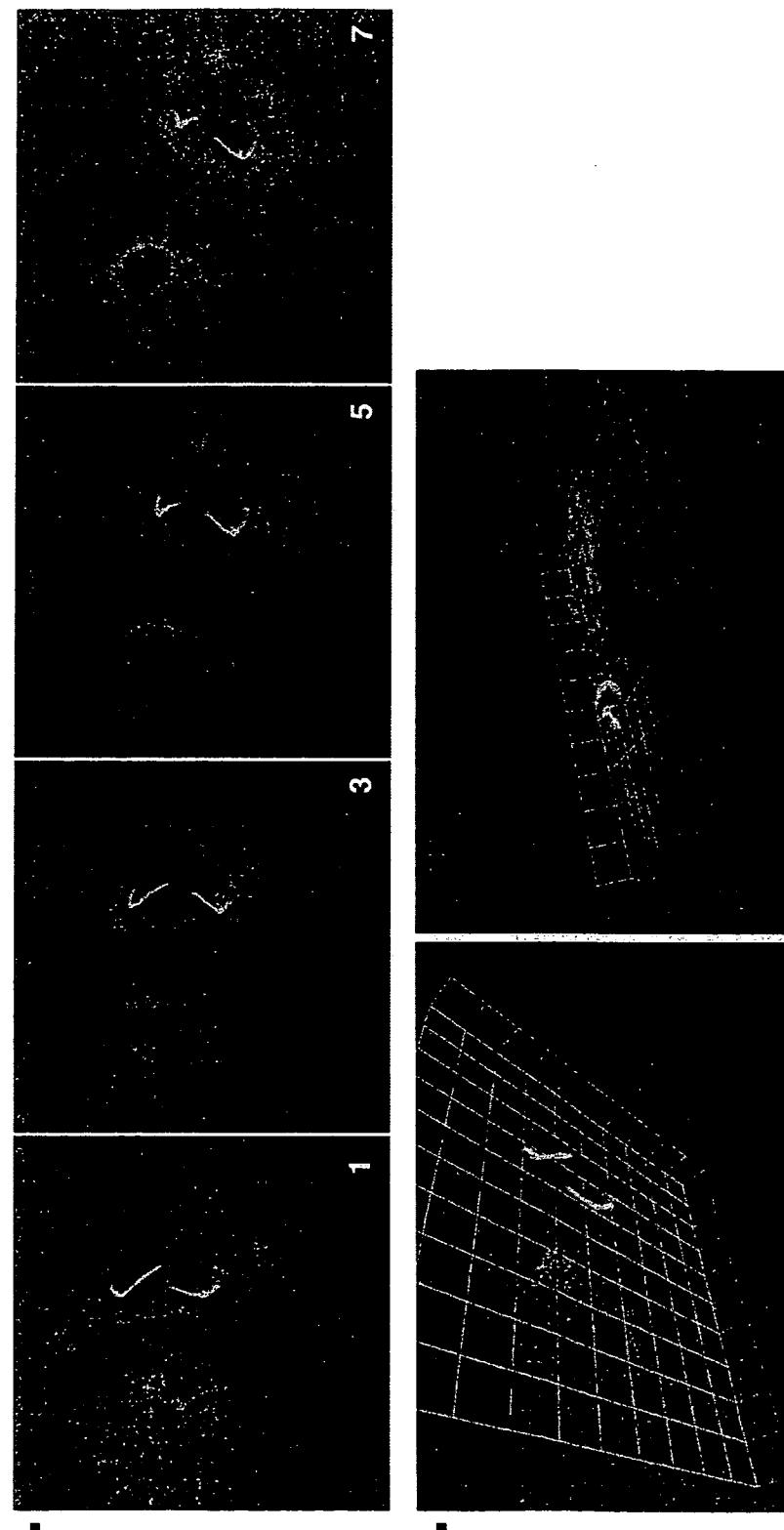
**Figure 8**



**Figure 9**

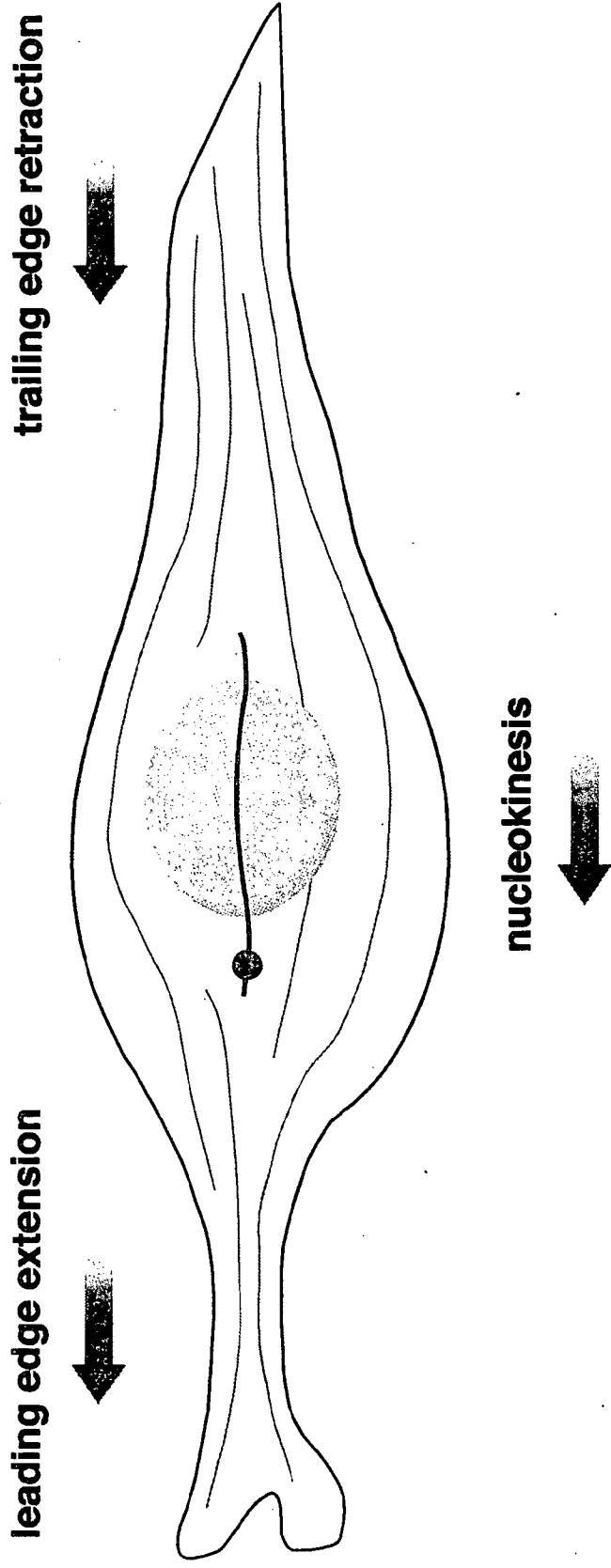
Figure 9G





**Figure 10**

**Figure 11**



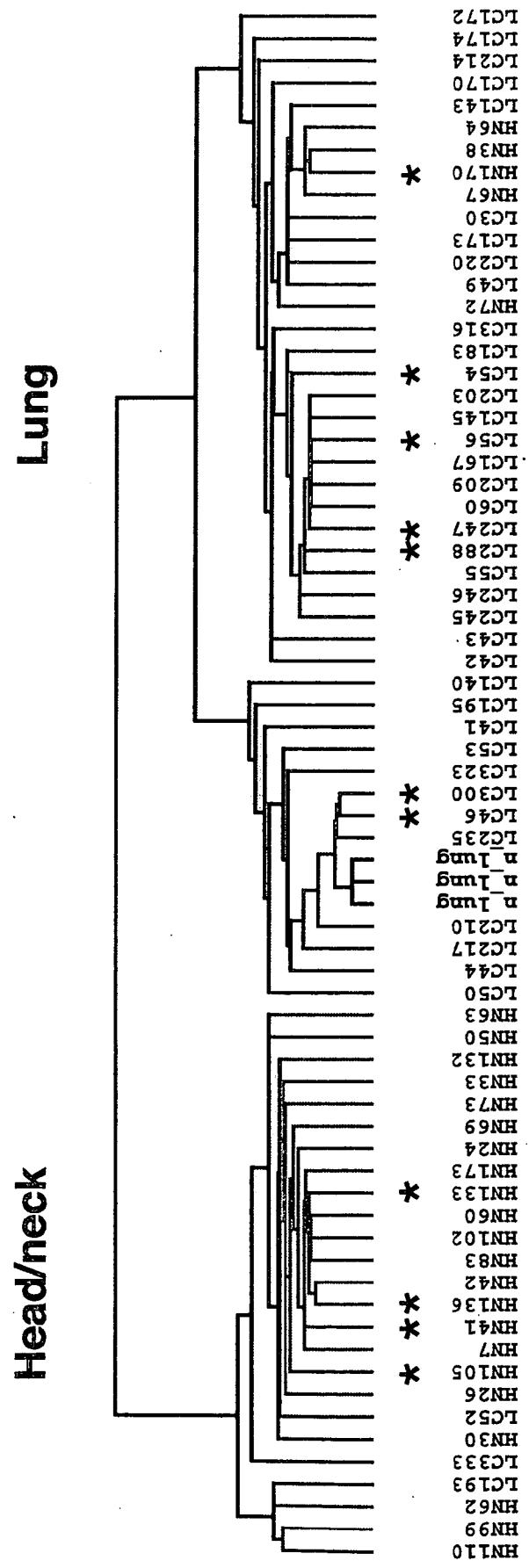
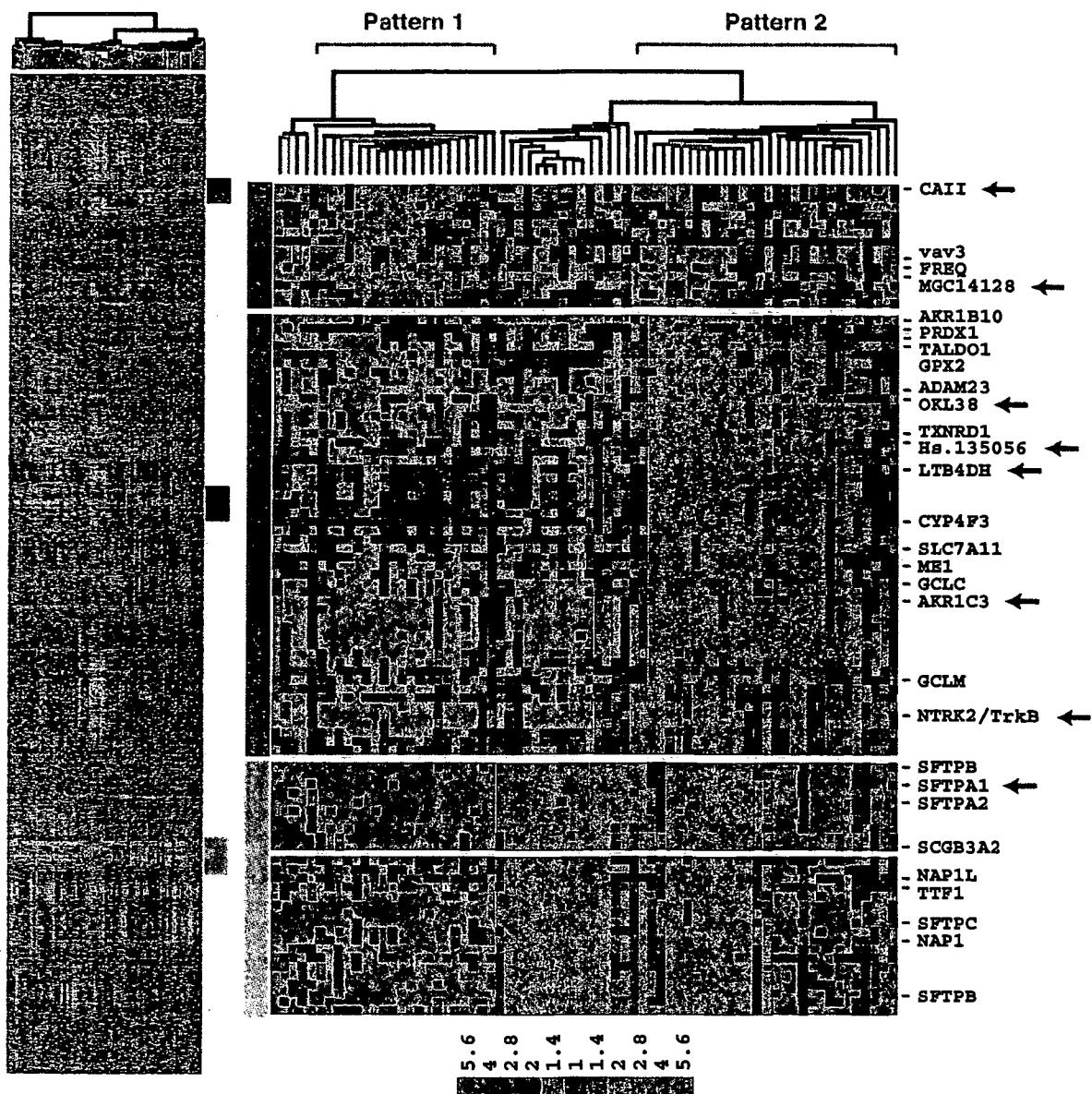
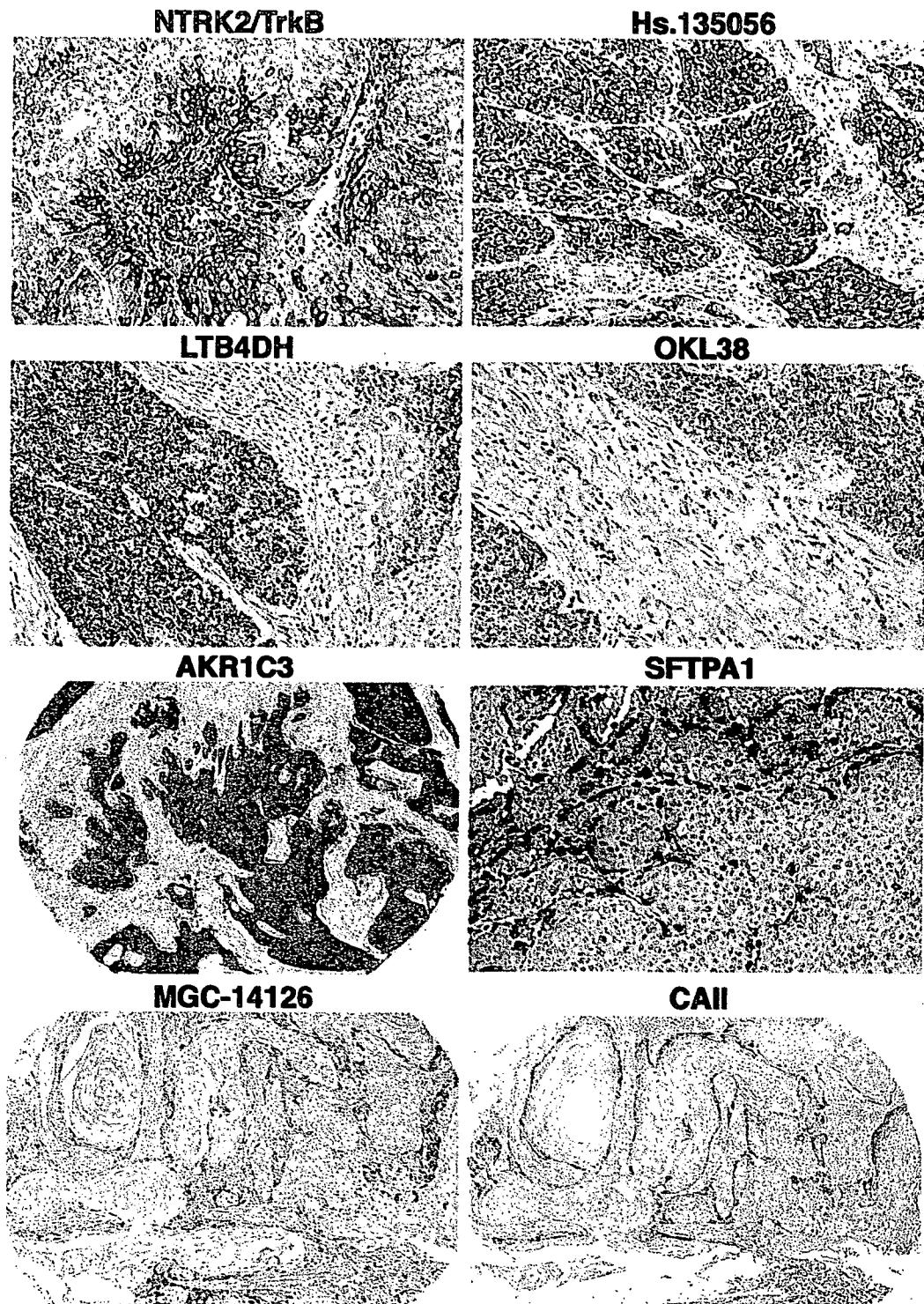


Figure 12

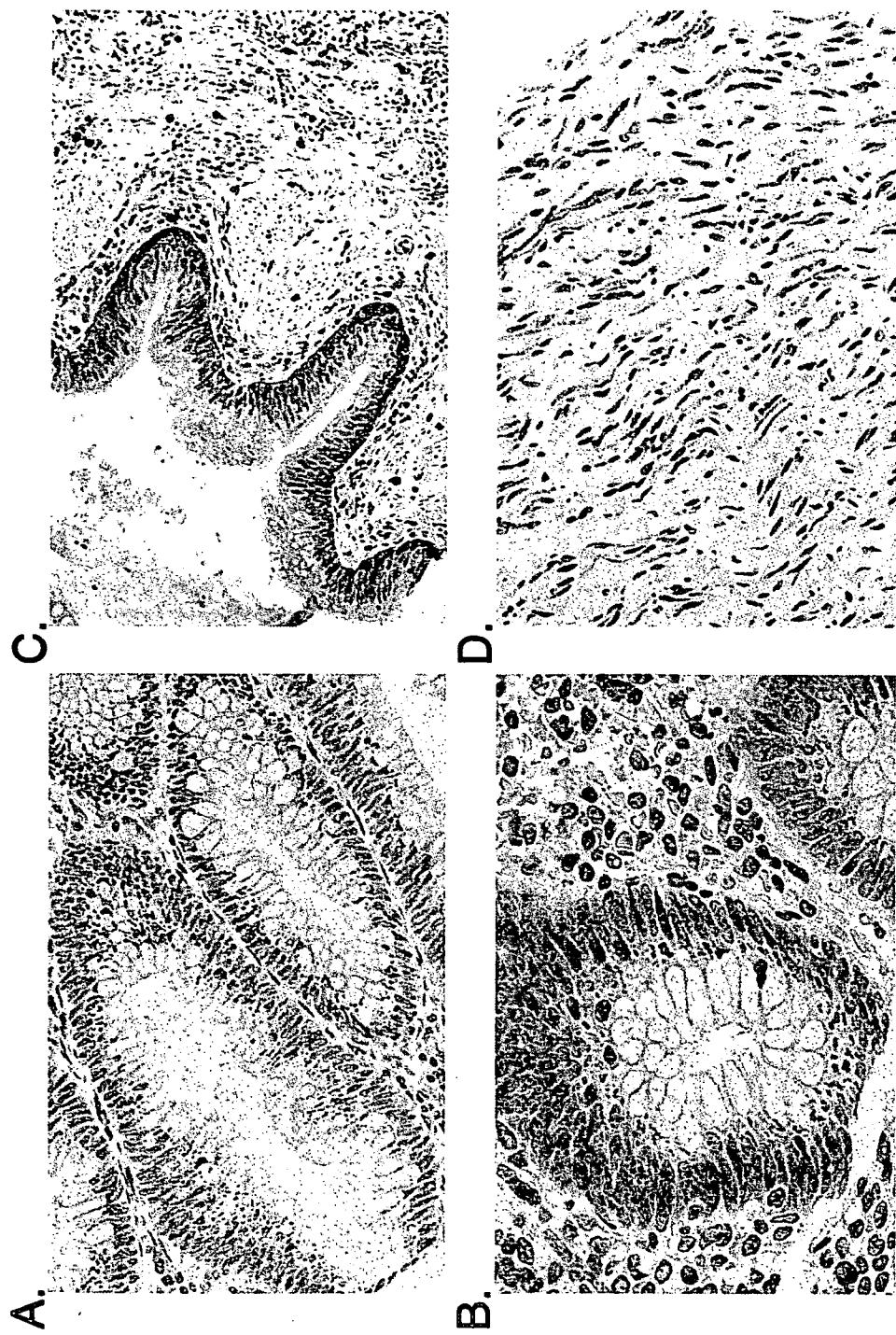
Figure 13





**Figure 14**

16 / 18



**Figure 15**

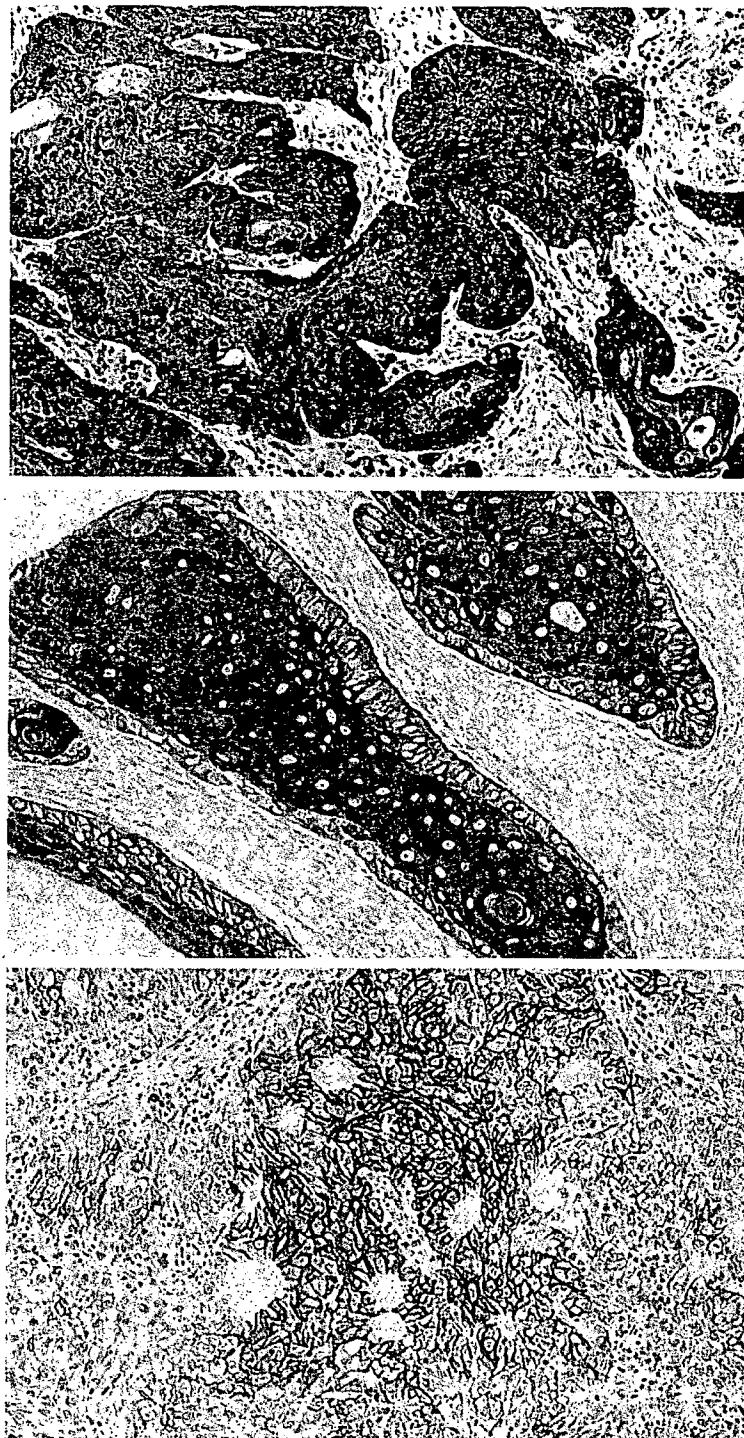
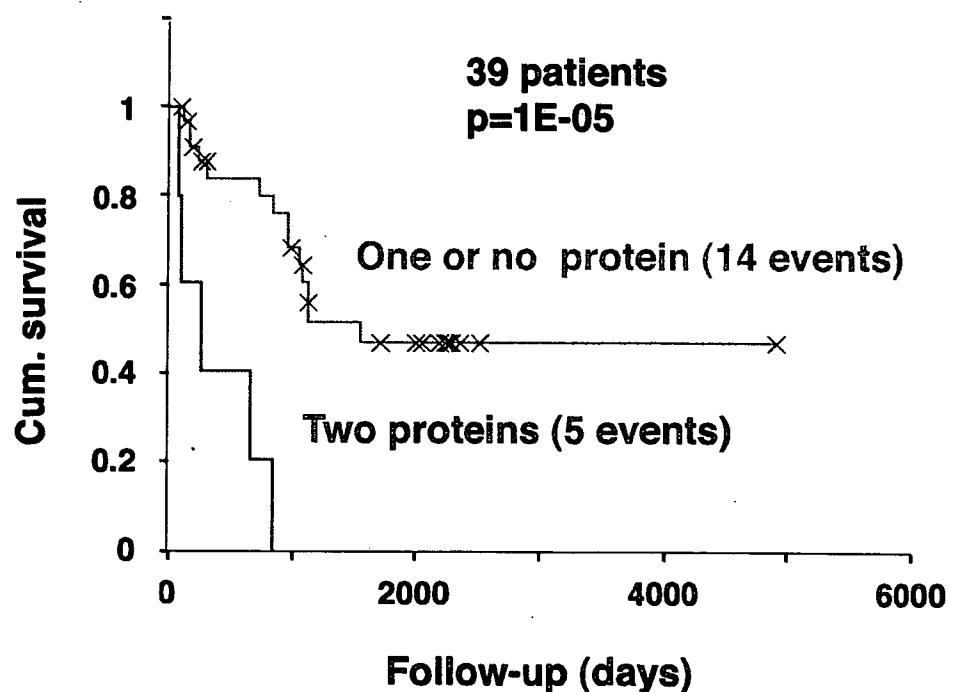


Figure 16

**Figure 17**



## APPLICATION INFORMATION

Application Type:: Provisional  
Title:: METHODS AND COMPOSITIONS  
FOR USE IN EVALUATING AND  
TREATING NEOPLASTIC DISEASE  
CONDITIONS  
Attorney Docket Number:: STAN-349PRV  
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Small Entity?:: Small  
License US Govt. Agency:: No  
Contract or Grant Numbers::  
Sequence Submission?:: No  
Computer Readable Form (CRF)?::

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